Special Education Compliance Program Review Standards and Indicators



Missouri Department of Elementary and Secondary Education Office of Special Education

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Special Education Compliance Program Review Standards & Indicators

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Missouri Department of Elementary & Secondary Education

Mission Statement

The Department of Elementary and Secondary Education is a team of dedicated individuals working for the continuous improvement of education and services for all citizens. We believe that we can make a positive difference in the quality of life for all Missourians by providing exceptional service to students, educators, schools and citizens.

We provide leadership and promote excellence. We

- Champion high-quality public education
- Advocate equity for every learner
- Develop school leaders and other educational team members
- Establish standards that demand excellence and build a solid foundation for lifelong learning, workplace skills and citizenship
- Evaluate program and policy effectiveness
- Share best practices
- Carry out programs with the least administrative burden and cost
- Assist persons with disabilities by providing individualized support and services
- Create a caring workplace that fosters teamwork and personal and professional growth

We promise to greatly exceed customers' expectations. We

- Listen to those we serve in order to improve our operations and adapt to changing needs
- Forge partnerships to improve our services
- Value each employee's contribution to achieving this mission



Missouri's Vision for Special Education Services

We, the people of Missouri, believe that diversity enhances our culture; therefore, we commit our resources and efforts to accept, educate, and support all children and youth. All children and youth, being of diverse backgrounds and abilities, will have access to all learning activities with accommodations and supports to enable them to succeed. All children and youth are actively engaged in creating their own futures and are prepared for life as independent, informed, and empowered citizens; and, are embraced as vital, valued, and contributing members of their communities.

Therefore, we need inclusive communities and schools that:

- recognize that all children and youth can learn;
- commit to providing equitable opportunities for all children and youth;
- build on the individual strengths and abilities of each child and youth;
- collaborate for the benefit of all children and youth; and,
- recognize and involve families as full partners.

Special Education Compliance Program Review Standards & Indicators

PREFACE

The Compliance Section of the Division of Special Education supports the mission of the Department of Elementary and Secondary Education and the Vision of the Division of Special Education by providing leadership in establishing standards which promote improved outcomes for students with disabilities as well as consistency in the procedures public agencies implement for meeting compliance with state and federal regulations.

This standards and indicators manual represents the collaborative efforts of many dedicated individuals from across the state who have responsibilities for working with students with disabilities. As a result of these efforts and their willing cooperation, this manual provides a resource for special educators in their work of providing quality special education services to children with disabilities throughout the State of Missouri.

General Information for Use of this Manual

Codes and abbreviations used throughout manual:

300.: Legal citations beginning with this number refer to 34 Code of Federal Regulations Part 300

Agency: Responsible public agency (i.e., local school district special school district, Charter School, State board Operated Program, or other public agency)

DESE: Department of Elementary and Secondary Education

DFS: Department of Family Services
 DMH: Department of Mental Health
 DOC: Department of Corrections
 DYS: Division of Youth Services

ECSE: Early Childhood Special Education

EDGAR: Education Department General Administrative Regulations

FAPE: Free appropriate public education

FERPA: Family Educational Rights and Privacy Act

GEPA: General Education Provision Act

IDEA: Individuals with Disabilities Education Act

IEP: Individualized Education Program LRE: Least Restrictive Environment

NIMAC: National Instructional Materials Accessibility Center NIMAS: National Instructional Materials Accessibility Standards

Part C: The section of IDEA that provides for children with disabilities ages birth to three.

RSMo: The Public School Laws of Missouri (Regulations of the State of Missouri)

RtI: Response to Intervention

SP(): The Missouri State Plan for Special Education and specific section number

Additional information regarding the manual's format:

- A. This Manual is divided into two (2) main sections.
 - 1) Section I: State Performance Plan (SPP) Indicators
 - 2) Section II: Compliance Program Review Standards & Indicators
- B. Legal citations are found at the beginning of a section or throughout each document.
- C. Each document has a three-column format.
 - 1) The "Legal Requirements" column, on the left, states the requirement and cross-references each to the appropriate legal citation.
 - 2) The "Indicators" column, in the center, provides an explanation for meeting the legal requirement. In some cases, additional clarification or examples are provided. The format for this section is as follows:
 - a) Numbered items identify required components
 - b) Open round bullets provide additional information to clarify the requirement
 - 3) The "Documents" column provides examples for documentation of the required information. Information may not necessarily be found in all sources or limited to only those listed.

Section I. State Performance Plan Monitoring Priorities, Indicators, and Targets

State Performance Plan Indicators

Federal Regulations provide guidance to SEAs that require monitoring of LEA's to be completed so that improved educational results and functional outcomes for students with disabilities occur. Focus upon the State Performance Plan (SPP) and performance targets established within that plan is central to the monitoring process. Compliance indicators are related to SPP indicators.

- **Indicator 1 -** Percent of youth with IEPs graduating from high school with a regular diploma.
- **Indicator 2 -** Percent of youth with IEPs dropping out of high school.
- **Indicator 3** Participation and performance of children with IEPs on statewide assessments:
- A. Percent of districts with a disability subgroup that meets the State's minimum "n" size that meet the State's AYP/AMO targets for the disability subgroup.
- B. Participation rate for children with IEPs.
- C. Proficiency rate for children with IEPs against grade level, modified and alternate academic achievement standards

Indicator 4 - Suspensions and Expulsions:

- A. Percent of districts that have a significant discrepancy in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPS.
- B. Percent of districts that have (a) a significant discrepancy, by race or ethnicity, in the rate of suspensions and expulsions of greater than 10 days in a school year for children with IEPs; and (b) policies, procedures or practices that contribute to the significant discrepancy and do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.

Indicator 5 - Percent of children with IEPs aged 6 through 21 served:

- A. Inside the regular class 80% or more of the day;
- B. Inside the regular class less than 40% of the day; and
- C. In separate schools, residential facilities, or homebound/hospital placements.

Indicator 6 - Percent of children aged 3 through 5 with IEPs attending a:

- A. Regular early childhood program and receiving the majority of special education and related services in the regular early childhood program; and
- B. Separate special education class, separate school or residential facility.

- **Indicator 7 -** Percent of preschool children aged 3 through 5 with IEPs who demonstrate improved:
- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication and early literacy); and
- C. Use of appropriate behaviors to meet their needs.
- **Indicator 8 -** Percent of parents with a child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.
- **Indicator 9 -** Percent of districts with disproportionate representation of racial and ethnic groups in special education and related services that is the result of inappropriate identification.
- **Indicator 10 -** Percent of districts with disproportionate representation of racial and ethnic groups in specific disability categories that is the result of inappropriate identification.
- **Indicator 11 -** Percent of children who were evaluated within 60 days of receiving parental consent for initial evaluation or, if the State establishes a timeframe within which the evaluation must be conducted, within that timeframe.
- **Indicator 12 -** Percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays within that timeframe.
- Indicator 13 Percent of youth with IEPs aged 16 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age-appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student's transition services needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.
- Indicator 14 Percent of youth who are no longer in secondary school, had IEPs in effect at the time they left school, and were:
- A. Enrolled in higher education within one year of leaving high school.
- B. Enrolled in higher education or competitively employed within one year of leaving high school.
- C. Enrolled in higher education or in some other postsecondary education or training program; or competitively employed or in some other employment within one year of leaving high school.
- **Indicator 15 -** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements.
- **Indicator 16 -** Percent of mediations held that resulted in mediation agreements.
- **Indicator 17** State Systemic Improvement Plan

Section II. Program Review Indicators

Program compliance indicators have been identified to assist individuals responsible for the administration of special education programs in implementing all required regulations and to assist them in state performance targets. The indicators are divided into the following documents

Administration 100 200 **Special Education Process** 300 Discipline 400 Speech Implementer 500 Transfer In-State Transfer Out-of-State 550 600 Eligibility Criteria: Autism 700 Eligibility Criteria: Deaf/Blind Eligibility Criteria: Emotional Disturbance 800 Eligibility Criteria: Hearing Impairment/Deafness 900 1000 Eligibility Criteria: Mental Retardation/Intellectual Disorder 1100 Eligibility Criteria: Multiple Disabilities 1200 Eligibility Criteria: Orthopedic Impairment 1300 Eligibility Criteria: Other Health Impairment 1400 Eligibility Criteria: Specific Learning Disability 1500 Eligibility Criteria: Language Impairment 1600 Eligibility Criteria: Sound System Disorder (Articulation and/or Phonology) 1700 Eligibility Criteria: Speech-Fluency 1800 Eligibility Criteria: Speech-Voice 1900 Eligibility Criteria: Traumatic Brain Injury 2000 Eligibility Criteria: Visual Impairment/Blindness 2100 Eligibility Criteria: Young Child with a Developmental Delay

Missouri Office of Special Education Compliance Standards & Indicators

100-Administrator Checklist

Legal Requirement			Indicator	Documentation
PUBLIC AWARENESS ACTIV	TTIES: Section	n 162.685 (1), RS	SMo; SP(III)	
100.10 Newspaper notice or	100.10.a.	One (1) publis	hed newspaper notice, billing or paid receipt, which indicates the date of publication.	Public notice; billing; or paid receipt,
publication on school district's	100.10.b.	Notice is publ	shed on an annual basis prior to November 1.	screenshots of notice
website:			OR	and webpage links
(NA for Charter Schools)	100.10.c.	The notice is p	osted on the school district's website.	
100.20	100.20.a.	Dated notice of	r billing which indicates the date of airing is present.	Public notice; billing;
Radio or television announcement:	100.20.b.	Notice is aired	on an annual basis prior to November 1.	or paid receipt
(NA for Charter Schools)	:			
100.30 Patron Notification:	100.30.a.		tifies all parents of children with disabilities under the jurisdiction of the agency al distribution of written literature once a year prior to November 1.	Flyers, student handbook, newsletters, etc.
		-	at Districts of SSD: Component districts of a Special School District are responsible for ication materials that meet the requirements of these Standards and Indicators.	
100.40 Posters/notices:	100.40.a.	The agency ha	s placed posters/notices in all administrative offices.	Poster; public notice
			nt Districts of SSD: Component districts of a Special School District are responsible for as materials that meet the requirements of these Standards and Indicators.	
Content for each public awarenes	s activity is pre	sent as follows:		
100.50 Child find responsibilities:	100.50.a.	including child disabilities atte	he agency's responsibility to identify, locate and evaluate children with disabilities, lren with disabilities who are homeless or are wards of the state, and children with ending private schools who are under the jurisdiction of the agency, regardless of the disability. This includes:	Public notice
		100.50.a.(1)	Children attending private schools, including non-residents if attending private schools within the agency's jurisdiction,	
	:	100.50.a.(2)	Highly mobile children, such as migrant and homeless children, and	
	:	100.50.a.(3)	Children who are suspected of having a disability and in need of special education even though they are advancing from grade to grade.	

	Ţ	100-Administr	ator Checkins
Legal Requirement	;	Indicator	Documentation
100.60 Intent to serve ALL disability	100.60.a.	States the agency's assurance to serve all disability categories, either locally or through contractual arrangement.	Public notice
categories:	100.60.b.	All disability categories are listed. Notice describes agency's responsibility to refer infants and toddlers suspected of having a disability to Part C early intervention system (First Steps).	
100.70 Intent to provide FAPE to all	100.70.a.	States the agency's assurance to provide a free appropriate public education (FAPE) to all children with disabilities under the jurisdiction of the agency.	Public notice
children with disabilities:	100.70.b.	Indicates that children with disabilities are students, between the ages of 3 and 21 years, who have been evaluated and identified in accordance with 162.675(2)(3) RSMo and the Individuals with Disabilities Education Act (IDEA).	
100.80 Notice provided in alternative language formats:	100.80.a.	Indicates the notice will be provided in alternative language formats.	Public notice
300.612(a)(l); FERPA 99.7(d); SP(II)			
100.90 Access rights of parents to personally identifiable information relating to their children:	100.90.a.	The agency assures that personally identifiable information collected, used, or maintained by the agency for the purposes of identification, evaluation, placement or provision of FAPE of children may be inspected and/or reviewed by parents.	Public notice
300.613; FERPA 99.6(2); SP(II)			
100.100 Parent's rights to request amendment of records:	100.100.a.	States that parents may request amendment to educational records if the parent believes the record is inaccurate, misleading, or violates the privacy or other rights of their child.	Public notice
300.618; FERPA 99.7 (a)(2); SP(II)			
Rights of parents to file complaints concerning alleged failures by the agency to meet the requirements of FERPA:	100.110.a.	Parent may register a complaint with the U.S. Department of Education or the Missouri Department of Elementary and Secondary Education (DESE) if they feel the agency is in violation of the Family Educational Rights and Privacy Act (FERPA).	Public notice
300.568; FERPA 99.7 (4); SP(II)			
100.120 Summary of the policies and	100.120.a.	Availability (location) of the agency's policies and procedures regarding storage, disclosure to third	Public notice

Legal Requirement		Indicator	Documentation
procedures regarding storage, disclosure to third parties, retention, and destruction of personally identifiable information:		parties, retention, and destruction of personally identifiable information.	Documentation
300.612(a)(3); FERPA 99.7; SP(II)			
100.130 Assurance that services are provided in compliance with the General Education Provision Act (GEPA):	100.130.a.	Availability (location) of the agency's assurances that services are provided in compliance with the requirement of GEPA.	Public notice
76.301 (4)(ii); Section 444 of the GEPA			
EDUCATIONAL SURROGAT	E APPOINTM	ENT PROCEDURES: 300.519; 162.998 RSMo; SP(V)	
100.140	The agency'	s Compliance Plan includes:	Agency Compliance
Agency has written procedures:	100.140.a.	Procedures to determine when a student is in need of a surrogate appointment.	Plan
1	100.140.b.	Procedures to submit Determination of Need forms.	•
	100.140.c.	Procedures to notify DESE, Office of Special Education, of changes in surrogate appointment and/or student's status.	
	100.140.d.	Description of intent to recruit and assist with training of educational surrogates.	
	100.140.e.	Identification of a contact person, if other than administrator of agency's special education program.	•
	: procedures fo	D and Component Districts of SSD: Component districts of a Special School District must have written or determining when a student needs an educational surrogate, describing the intent to recruit and assist of educational surrogates and identifying a contact person, if other than the administrator of special	
100.150 Determine need for educational surrogate:	100.150.a.	The agency implements procedures to determine whether or not a student has need of an educational surrogate.	Agency records; student files
		D and Component Districts of SSD: Component districts of a Special School District must assist in mation to assist in making a determination of need for assignment of an educational surrogate.	

	,		100-Administr	ator Checklist
Legal Requirement	! ! !		Indicator	Documentation
100.160 Upon agency determination/notification of	100.160.a.		n is present that within thirty (30) days the agency investigated the need for an crogate appointment for any children with disabilities suspected of needing special ices upon:	Agency records; student files
suspected need, the agency investigates and determines		100.160.a.(1)	Enrollment.	
the need for surrogate		100.160.a.(2)	Referral for special education evaluation.	
appointment:		100.160.a.(3)	Notification that the child has no identified parent, guardian, or person acting as a parent.	
(NA if agency has no identified need for educational surrogate appointments)				
100.170 Submit <i>Determination of Need</i>	100.170.a.		ucational surrogate appointment submitted to DESE, Office of Special Education, days of the determination of need.	Agency records; student files
for Educational Surrogate Appointment:	100.170.b.		DESE signed/approved Determination of Need for Educational Surrogate orm and surrogate appointment letter is maintained in the student's file.	
(NA if agency has no identified need for educational surrogate appointments)				
100.180 Change in child's educational surrogate status:	100.180.a.	educational sur	n is present that DESE was notified of the need for a change in the child's progate status within thirty (30) days of child's change in status (i.e. child graduates, eligible under IDEA, parent or guardian re-appears to represent the child, child r care).	Agency records; student files
(NA if agency has no identified need for educational surrogate appointments)				
100.190 Change in the specific educational surrogate appointment:	100.190.a.	appointment w	n is present that DESE was notified of any changes that are needed for the surrogate ithin thirty (30) days of the agency's awareness of the needed change (i.e., surrogate nflict of interest, etc.).	Agency records; student files
(NA if agency has no identified need for educational surrogate appointments)				
100.200	100.200.a.	Documentation	n is present that annual evaluations for educational surrogates are completed and	Agency records;

Legal Requirement	Indicator	Documentation
Annual surrogate	submitted to DESE, Office of Special Education, by July 1 of each year,	student files
evaluation(s):	OR	
(NA if agency has no	100.200.b. Prior to a recommendation for termination of the surrogate's appointment.	
identified need for educational surrogate appointments)		
100.210 Evidence of recruiting efforts for educational surrogate:	100.210.a. Documentation is present of agency's efforts for recruiting individuals to serve as educational surrogates.	Agency records; student files
(NA if agency has no identified need for educational surrogate appointments)	Note for SSD and Component Districts of SSD: Component districts of a Special School District must assist the SSD in recruitment of individuals to be trained as educational surrogates.	
OTHER ADMINISTRATIVE I	TEMS	
100.220 Confidentiality training:	100.220.a. Written verification of confidentiality training for ALL persons collecting or using personally identifiable data is present. Training is provided for all staff and subsequently for new hires.	Agency records
300.572 (c); SP (II)		•
	NOTE: Documentation should include dates of training, content agenda, and a list of participants.	
	Note for SSD and Component Districts of SSD: For agencies associated with Special School districts, implementation of requirements related to confidentiality of special education records is a matter of separate compliance for the SSD and component district based upon possession of the records. Each district must have policies in place to assure compliance with these regulations.	
100.230 Positions of employees having access to personally identifiable data:	100.230.a. A listing of positions of current employees having access to personally identifiable data is posted at student file location(s) or other central location(s).	Posted listing; agency files
300.623 (d); SP (II)	Note for SSD and Component Districts of SSD: For agencies associated with Special School districts, implementation of requirements related to confidentiality of special education records is a matter of separate compliance for the SSD and component district based upon possession of the records. Each district must have policies in place to assure compliance with these regulations.	
100.240 Paraprofessional credentials:	100.240.a. Documentation is present that special education paraprofessionals are properly credentialed.	Agency records; personnel files
SP (VI)		
100.250	Paraprofessionals engaged in any instructional support activities must have sixty (60) college hours, or an	Personnel file

Legal Requirement	Indicator	Documentation
Paraprofessionals assigned to Title I School wide Projects providing instructional support:	Associate Degree, or have passed the State approved paraprofessional assessment with an established minimum score.	
100.260 Paraprofessional assigned to buildings designated as Title I School-wide (Building) Projects engaged solely in activities of personal assistance:	Paraprofessionals assigned to buildings designated as Title I School-wide (Building) Projects engaged solely in activities of personal assistance (i.e. feeding, mobility, toileting, etc.) have a High School Diploma or GED	Personnel file
100.270 Paraprofessionals assigned to buildings not designated as Title I School-wide (Building) Projects:	Paraprofessionals assigned to buildings not designated as Title I School-wide (Building) Projects have a High School Diploma or GED.	Personnel file
100.280 Paraprofessional training: 300.156; SP (VI)	Verification of orientation training necessary for each paraprofessional to perform duties as assigned is present. Paraprofessionals who do not hold teaching certificates are required to have received initial orientation plus fifteen (15) clock hours of training during their initial year of employment. A minimum of ten (10) clock hours each year thereafter is also required. Verification includes:	Agency records; personnel files
	100.280.a. Orientation (dates, content agenda, and participants),	:
	100.280.b. Training (dates, content agenda, number of clock hours, and participants), and	
	100.280.c. Clock hours of training provided.	
100.295 Use of Public Benefits or Public Insurance	Documentation is present that annual written notice is provided to the child's parent before accessing parents' public benefits or public insurance and written consent is granted:	Student files
	100.295.a. Notification is written.	· · · · · · · · · · · · · · · · · · ·
300-154 (d)	100.295.b. Notification is written in language understandable to the general public.	
	100.295.c. Notification is written in the parent's native language or other mode of communication.	
	100.295.d. Requires one time signed and dated written consent to access the public benefit.	
	The notification includes the following:	
	100.295.e. What information will be disclosed.	
	100.295.f. The purpose of the disclosure.	
	100.295.g. What agency will receive the information.	
	100.295.h. Includes a statement that consent from the parent is required before using the public benefit.	· · · · · · · · · · · · · · · · · · ·

Legal Requirement		Indicator	Documentation
	100.295.i.	Includes a statement about no cost to the parent to use the benefit for the child to receive the special education services.	
	100.295.j.	Includes information about the right to withdraw consent at any time.	
	100.295.k.	Includes information about the right to refuse to give consent.	
	100.295.1.	Includes information that the school district must provide all special education services at no charge to the parent or child even if consent is withdrawn or refused.	
	100.295.m.	Includes a statement that the parent understands and agrees that the LEA will access the public benefits.	
	100.295.n.	Includes a statement that the public agency may not require parents to incur an out-of-pocket expense such as the payment of a deductible or co-pay amount incurred in filing a claim for services.	
	100.295.0	Includes a statement that the public agency may not use a child's benefits under a public benefits or insurance program if that use would.	
		100.295.o(1) Decrease available lifetime coverage or any other insured benefit.	
		100.295.o(2) Results in the family paying for services that would otherwise be covered by the public benefits or insurance program and that are required for the child outside of the time the child is in school.	
	:	100.295.o(3) Increase premiums or lead to cancellation of benefits or insurance.	
		100.295.o.(4) Risk loss of eligibility for home and community-based waiver, based on aggregate health-related expenditures.	
100.300 Private school program	100.300.a.	Consultation occurs at least annually and is conducted prior to the district's decision about the use of the proportionate share of funds described in IDEA.	Meeting notes; phone log; correspondence;
planning:	100.300.b.	A written affirmation signed by the representatives of participating private schools,	meeting notice
CFR 300.134; SP VIII		OR	
		if representatives do not provide affirmation, a copy of documentation is forwarded to DESE of the consultation process.	
		ion addresses the agency's timely and meaningful consultation with appropriate representatives of aced private school children with disabilities to address the following:	
	100.300.c.	How child find activities will be implemented.	
	100.300.d.	Which private school children with disabilities will receive services.	
	100.300.e.	What services will be provided.	

Legal Requirement	Indicator	Documentation
	100.300.f. How and where the services will be provided, including time and location of the services provided.	
	100.300.g. How the services provided will be evaluated.	
	NOTE (1): In Missouri, home-schooled children are included in this population.	
	NOTE (2): Appropriate representatives may include parents, private school administrators, teachers, and/or principals.	
	NOTE (3): The LEA shall make the final decisions with respect to the services to be provided to eligible private school children.	
	NOTE (4): Missouri Constitution and case law prohibit the provision of services, equipment, materials, or personnel on the premises of a private school unless provided in a neutral location (refer to current guidance on Department's website).	
	Note for SSD and Component Districts of SSD: Component districts of a Special School District must adopt appropriate procedures and practices to allow participation of private school students.	
100.310 Hearing aid(s) or external components of surgically implanted medical devices:	Documentation indicates the hearing aid(s) or external components of surgically implanted medical devices are: 100.310.a. Monitored to ensure proper functioning.	Student files
300.113(a)(b)(1); SP(IV)	NOTE: If other assistive listening devices are used, such as an auditory training unit (ATU), their working order should also be verified.	
100.320 Response to Intervention Model for the Identification of Specific Learning Disability:	If the agency is using a Response to Intervention model for the identification of Specific Learning Disability, the agency has written procedures for implementation that, at a minimum, incorporate guidelines developed by the SEA.	
CONTRACTUAL SERVICES:	300.402; SP(VIII)	
100.330 Public agency contracts for a	100.330.a. Contractual services are arranged only with private agencies approved by the State Board of Education.	Student files; copies of contracts
child's services through a private agency:	100.330.b. All services are provided in accordance with IEP.	
STATE BOARD OPERATED P	PROGRAM—MISSOURI SCHOOL FOR THE BLIND (MSB): SP(X)	
100.340	The public agency maintains the following:	Student files
The following items are present:	100.340.a A current evaluation report.	
	100.340.b. The current IEP.	

Legal Requirement	Indicator	Documentation
STATE BOARD OPERATED	PROGRAM—MISSOURI SCHOOL FOR THE DEAF (MSD): SP(X)	
100.350	The public agency maintains the following:	Student files
The following items are present:	100.350.a. A current evaluation report.	:
present.	100.350.b. The current IEP.	
		:
STATE BOARD OPERATED	$\label{eq:program-missouri} \textbf{PROGRAMMissouri Schools for the Severely Disabled (MSSD): } SP(X)$	
100.360	The public agency maintains the following:	Student files
The following items are present:	100.360.a. A current evaluation report.	
1	100.360.b. The current IEP.	•
DESTRUCTION OF INFORM	MATION: 300.624; SP (II)	
100.370 Notification of Destruction of Information provided prior to destruction of information:	100.370.a. Parent is provided notification at the last known address prior to the destruction of information.	Notification
100.380	The Notification of Destruction of Information includes the following:	
Notification includes:	100.380.a. Records to be destroyed specifically listed by category (IEPs, test protocols, etc.).	
	100.380.b. Statement that indicates the reason for destruction.	
	100.380.c. Proposed date (m/d/y) of record destruction.	
	100.380.d. Statement that records are maintained for a minimum of three (3) years from the date the child no longer received special education and related services.	
	NOTE (1): A permanent record containing the student's name, address, phone number, grades, attendance record, classes attended, grade level completed, and year completed may be retained without time limitation.	
	NOTE (2): Refer to individual agency policies for additional information pertaining to this issue.	
RELEASE OF INFORMATIO	DN: 300.622; SP (II)	
100.390	100.390.a. Consent for Release of Information was obtained prior to release of records.	Release form; student
Consent for Release of Information is present:	The following are some applicable exceptions to the consent requirement specified in FERPA:	files
1	 School officials; 	

	100-Aummst	ator Checklist
Legal Requirement	Indicator	Documentation
	Schools in which a child seeks or intends to enroll;	
	 Federal, state, and local authorities involved in an audit, evaluation, or monitoring for compliance with education programs, and 	
	o Investigations in connection with financial aid, such as a college loan.	
	NOTE: For a complete listing of exceptions, see 34 CFR 99 FERPA Regulations.	
100.400 Content of the Release of Information:	The Release of Information contains the following content: 100.400.a. A dated signature of parent or eligible student (Date represents date permission given for release of information).	Release form; student files
	100.400.b. Specific records to be disclosed.	
	100.400.c. A statement describing reason(s) for disclosure.	
	100.400.d. Individual(s) or agency(ies) to which disclosure is to be made.	
TRANSFER OF RIGHTS: 300.5	20(a)(3); SP (V)	
100.410	100.410.a. Upon the child's 18 th birthday, the child and the parents are notified of the transfer of rights.	Student files
Notification of the transfer of parental rights at age of majority:		
INDEPENDENT EDUCATIONA	AL EVALUATION (IEE): 300.502; SP (V)	
100.420 Information provided to parent regarding policies for independent education evaluation (IEE):	100.420.a. Upon request for an IEE, documentation is present that the public agency provided the parent information (or a listing) about where an independent educational evaluation may be obtained and the agency criteria applicable for independent educational evaluations.	Agency records; student file
100.430	100.430.a. The IEE is provided at public expense consistent with provisions listed in the agency's policies.	Agency records;
Provided at public expense:	NOTE: A parent is entitled to only one independent evaluation at public expense each time the public agency conducts an evaluation with which the parent disagrees.	student file
100.440 Results considered by the agency:	100.440.b. The agency documents consideration of the IEE results if it meets agency criteria.	Agency records; student file

NATIONAL INSTRUCTIONAL MATERIALS ACCESSIBILITY CENTER (NIMAC)/NATIONAL INSTRUCTIONAL MATERIALS ACCESSIBILITY STANDARDS (NIMAS): 300.172; SP VII

		100-Administr	ator Checklist
Legal Requirement		Indicator	Documentation
100.450 Assurance regarding adoption of NIMAS:	100.450.a.	The agency has adopted the National Instructional Materials Accessibility Standards (NIMAS) for purposes of providing instructional materials to blind children and youth or other children and youth with print disabilities.	Assurance statement
20 U.S.C 1412(a)(23)(A)(D); SP			
100.460 Assurance regarding Agency	100.460.a.	The public agency has assured that it will coordinate with the National Instructional Materials Access Center (NIMAC);	Assurance statement
coordination with NIMAC:	:	OR	
	100.460.b.	The agency has indicted that it will not coordinate with the National Instructional Materials Access Center. In this case, the agency assures that it will provide instructional materials to blind children and youth or other children and youth with print disabilities in a timely manner.	
APPROPRIATELY CERTIFIC	CATED TEACH	HERS (ACT): SP I	
Every Student Succeeds Act (ESS			
100.470 Subject matter competency for Appropriately Certificated Teachers:	instruction in 100	cation teachers who instruct, provide consultation to subject area teachers, or provide supplemental an any subject must: 470.a. Hold a bachelor's degree. 470.b. Hold appropriate special education certification.	Personnel files
100.475 Special Education and Related Services Staff Credentials:	Documentati	on is present that special education and related services staff are properly credentialed.	Agency records; personnel files
300.156; SP (VI)			
OTHER ADMINISTRATIVE I	TEMS for Spec	cial School Districts and Component Districts of a Special School District: SP(IX)	
100.480 Component collects and shares needs assessment for PD activities as related to students with disabilities:	100.480.a.	Documentation is present that the component district of a Special School District has collected and shared the needs assessment information collected by their Professional Development Committee (PDC) as it relates to students with disabilities.	PDC needs assessment
100.490 Component has policies and procedures for staff participation in PD activities developed by SSD:	100.490.a.	The component district of a Special School District has policies and procedures in place that direct the participation of their general education personnel in activities developed by the special school district and the component district.	Policies and Procedures
100.500 The SSD coordinates activities		School District's professional development plan includes a description of the process used by the pol District to coordinate with the component district's professional development plan. This plan	Professional Development Plan

Legal Requirement	Indicator	Documentation
with the component PD plans:	should include activities for the following personnel:	
	o Administrators (including superintendents and principals);	
	o General and special educators;	
	o Related services personnel;	
	o Paraprofessionals.	
INSTRUCTIONAL RESOURCE	EES AND COMPARABLE FACILITY: SP(IX)	
100.510 Policies and practices that assure allocation of appropriate class room instructional space:	100.510.a. The amount of instructional space provided by each component district is proportionate to the number of students with disabilities identified as residents of the component district; students with disabilities who otherwise attend a private, parochial, parish or home school; and, for St. Louis County only, students with disabilities served by the component district pursuant to the voluntary desegregation plan.	Building specifications and floor plans
	100.510.b. Each special and component district has ensured that the facilities provided to students with disabilities are comparable to those available to nondisabled students within that building and/or district.	
	100.510.c. Relocation of instructional space for students with disabilities within the component district does not occur more frequently than the relocation of space for general education student services.	
100.520 Policies and practices that	Each special and component district shall assure the availability and provision of instructional materials to support the general education curriculum, including the following:	Inventory
assure that availability and provision of instructional	o Current textbooks.	
materials and technology to	o Teacher manuals and supplements.	
support the general education curriculum:	o Instructional technology, including hardware, software, and multimedia that is accessible to students with disabilities either directly by features incorporated within the technology or by compatibility with add-on components.	
	Other materials that are routinely designated for the use of nondisabled students.	
100.530 Policies and practices that assure the access for special education teachers to instructional supports available to all teachers:	100.530.a. Each special and component district shall assure access of special education teachers to instructional supports generally available to all teaching staff (e.g., duplicating services, computer technology, library/media resources, etc.).	Policies and Procedures
Districts that have been identificable children with a particular impa	ed as having <u>disproportionate representation</u> of racial and ethnic groups in special education, which may include irment: 300.600 (d) (3); SP VI	identification of
100.540	A review of monitoring data, including policies practices and procedures, indicated the disproportionality is not	Monitoring results

Legal Requirement	Indicator	Documentation
Disproportionate representation:	the result of inappropriate practices.	
	d as having <u>significant disproportionality</u> based on race and ethnicity, which may include identification of childr rticular educational settings of these children, and the incidence, duration, and type of disciplinary actions, incl [[
100.550 Significant disproportionality:	A review of the district's policies, procedures and practices used in the identification or placement of students with disabilities indicated there is not a need for the district to revise its policies, practices or procedures to comply with the requirements of IDEA.	Monitoring results
100.560 Reservation of funds to provide comprehensive, coordinated early intervening services to serve children in the LEA:	Any LEA identified as having significant disproportionality, regardless of the results of a review of policies, practices and procedures must reserve the maximum amount of funds under section 613(f) of the Act to provide comprehensive, coordinated early intervening services to serve children in the LEA, particularly, but not exclusively, children in those groups that were significantly over identified under 300.646 (a).	District records related to budget and services for EIS
100.570 Public reporting of policies, procedures or practices:	LEAs required to revise policies, procedures or practices related to findings of significant disproportionality must publicly report on these revisions.	Public reports

Missouri Office of Special Education Compliance Standards & Indicators

200-Special Education Process

	iucation Process	
Legal Requirement	Indicator	Documents
200.05	Access sheet includes the following:	Access sheet
Access sheet is present:	200.05.a. Name(s) of person(s) accessing file.	
300.614 FERPA 99.32(a),	200.05.b. Date access was given.	
(1,2): SP(II)	200.05.c. Purpose(s) of review(s).	:
	NOTE: FERPA regulations do not require authorized school staff who access the student's file to sign.	
REFERRAL PROCESS		
200.10	Documentation of initial referral for evaluation is present and includes:	Referral form; parent
Referral is present for an	200.10.a. Name(s) and role(s) of individual(s) making the referral.	request
initial evaluation: SP(III)	200.10.b (1). Reason(s) for the referral.	
	(2). And a description of concern(s) which describes why the child is suspected of having a	:
	disability.	
	(3). And in need of evaluation to determine eligibility for special education.	
	200.10.c. Date of referral $(m/d/y)$.	
	NOTE (1): Date of referral represents:	
	 Agency referrals: The date of the referral is the date on which agency determined there is reason suspect a disability and an evaluation is warranted. 	to
	o <u>Parent referral</u> : The date of the referral is the date a member of the agency's certificated staff receives a request from the parent (either verbal or written).	
	Referrals for children receiving services in the First Steps System: Referrals for children serve in First Steps are considered parent referrals and occur when the LEA is provided with "directory information" for the child. Directory information includes the child's name and birth date as well the parent's name, address and phone number. Directory information may be provided in a variety of ways including, but not limited to: direct parent contact, a directory information form provided by the First Steps SPOE, First Steps service provider contact, the invitation to attend the child's Fi Steps Transition Conference, or from any other source with knowledge of the child. The date of the contact, which may be either verbal or written, when the directory information is provided to the LEA is considered the date of referral and triggers the evaluation process requirements under IDEA. This includes the requirements to provide the parent a copy of Procedural Safeguards with 5 school days of the date of referral and to provide parents with a Notice of Action within 30 days the referral date. Under Part C requirements, for any child who may be eligible for Part B preschool services, the Transition Conference must be held no later than 90 days before the child's third birthday, but, at the discretion of all parties, may occur up to nine months before the child's	as I I I I I I I I I I I I I I I I I I

	200-Special Educ	cation Proces
Legal Requirement	Indicator	Documents
	third birthday with the approval of the family. The First Steps system must invite the LEA to attend the Transition Conference. If invited, a representative of the LEA must attend the Transition Conference. The IDEA requires for eligible children that an IEP must be developed and implemented by the child's third birthday.	
	**** For further information concerning First Steps transition requirements, see the Missouri State Plan for Part C of the Individuals with Disabilities Education Act (IDEA).	
	NOTE (2): An agency may request that the parent put a verbal request in writing but that may not serve to delay the evaluation process.	
	NOTE (3): After parent referral, the agency must determine, in a timely manner, but not more than 30 calendar days from the date of the request, if there is a reason to suspect a disability or a need for evaluation. Delays beyond this time may be permitted for just cause (school breaks for summer or holidays, student illness, etc.) and documented in the student's record. If there is no reason to suspect a disability, the agency provides the parents with a Notice of Action refusing the requested evaluation to determine eligibility for special education.	
	NOTE (4): Referrals made by Parents as Teachers are considered agency referrals. Note for SSD and Component Districts of SSD: The Joint Review Committee in Special School Districts determines if it is appropriate to refer and evaluate students to determine eligibility for special education.	
200.20 Full explanation of all Procedural Safeguards: 300.504(a)(1); SP(V)	A copy of the Procedural Safeguards Notice is given to the parent at the time of initial referral for evaluation. Documentation is present that: 200.20.a. The Procedural Safeguards notice was provided within 5 school days of the date of the referral.	Referral form; contact log
INITIAL EVALUATION/ELIO	GIBILITY DETERMINATION	
200.30 Existing evaluation data on the child is reviewed:	A group of individuals meeting the requirements of an IEP Team and other qualified professionals, as appropriate, reviewed all relevant existing evaluation data on the child. The information reviewed must include: O Evaluations and information provided by the parents of the child.	Meeting notes; review notes; summary of data
300.305; SP(III)	 Performance on current classroom-based assessments. Performance on State and agency-wide assessments. 	

- Performance on State and agency-wide assessments.
- Classroom-based observations.
- Observations by teachers and related services providers, if available and applicable.

Documentation of the review includes:

200.30.a. A description of all data reviewed and a summary of the information gained from the review of the data.

The date conclusions and decisions are finalized. 200.30.b.

200.30.c. The name(s) and role(s) of each individual conducting the review. If an individual is serving in more than one (1) role, all parties must be aware of each role in which the individual is serving and each role must be documented.

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Legal Requirement	Indicator	Documents
	NOTE: This review may be conducted without a meeting. Note for SSD and Component Districts of SSD: Component districts of a Special School District provide reports, classroom assessments or other resources and materials to the team determining eligibility and designat appropriate staff required to participate in the group that conducts the evaluation.	2
200.40 Identify what additional data, if any, are needed: 300.305; SP(III)	 200.40.a. Documentation is present that on the basis of the review of existing evaluation data, and input from the child's parent, the team made a determination of what additional data, if any, is needed to determine: 200.40.a.(1) Whether the child is a child with a disability and the educational needs of the child. 200.40.a.(2) The present level of academic achievement, functional performance and related developmental needs. 200.40.a.(3) Whether the child needs special education and related services, supplementary aids and services, and/or modifications to enable the child to participate, as appropriate, in the general curriculum. 200.40.b. The conclusions and decisions resulting from the review must be documented. 	Meeting notes
NOTICE OF ACTION – INITI	AL EVALUATION	
200.50 Written Notice, no additional data needed, consent required: 300.503(a)(1); 300.300; SP(V)	If it is determined that no additional data is necessary as part of the initial evaluation, written notice is provided to the parent after the review of existing data and consent obtained prior to an eligibility determination. 200.50.a. Date of Notice (m/d/y) is not more than thirty (30) calendar days from date of the referral. 200.50.b. Date of Notice (m/d/y) is prior to eligibility determination. 200.50.c. Parent signature and date (m/d/y): o Indicates parent has been fully informed in her/his native language or other mode of communication for all activities for which consent is sought. o Grants consent for the proposed initial evaluation and eligibility determination.	Notice of Action
	OR	
200.70 Written Notice, consent required: 300.503(a)(1); 300.300; SP(V)	A written notice is provided and written parental consent is obtained for <u>all</u> initial evaluations (with or without assessment). 200.70.a. Date of the Notice (m/d/y) is not more than 30 calendar days from date of referral. 200.70.b. Consent is obtained prior to administration of any tests or assessment instruments, if applicable. 200.70.c. Parent signature and date (m/d/y): o Indicates parent has been fully informed in her/his native language or other mode of communication for all activities for which consent is sought. o Grants consent for the proposed initial evaluation.	Notice of Action/Consent

Legal Requirement		Indicator	Documents
Content of Notice, for Initial Ev	aluation: 300.503((b)(1-7); SP(V)	
200.80 Description and explanation of action proposed:		udes: Description of the action being taken. Explanation of agency's positions and why the action is proposed or refused.	Notice of Action
200.90 Area(s) to be evaluated and tests/assessments to be used: 300.304(a)		Name(s) and description(s) of area(s) of functioning to be assessed. Names(s) of test(s)/assessment(s) to be used, if known.	Notice of Action; Description of Areas to be Assessed and Known Tests to be Used Documentation Form
200.100 Options considered and why rejected:	a	Specific other options considered by the IEP team prior to the decision to propose or refuse the action. Why each option was rejected.	Notice of Action
200.110 Information used as a basis for the action:		Documentation includes a listing of each procedure, assessment, record, or report used as a basis for the action proposed.	Notice of Action
200.120 Other relevant factors to the action:	200.120.a. D	Description of other factors that are relevant to the agency's proposal or refusal.	Notice of Action
200.130 Procedural Safeguards statement:	200.130.a. A 200.130.b. H 200.130.c. S	en Notice must contain the following: A statement indicating that the parent has protection under Procedural Safeguards How to obtain a copy of Procedural Safeguards Sources for parent to contact to understand the provisions of the Procedural Safeguards OR A copy of the Procedural Safeguards was provided.	Notice of Action
200.160 Parent is notified of the eligibility staffing: 300.501(b)(1)(2); 300.503; 300.505 SP(III)		is present that the parent was notified of the eligibility staffing. The parent(s) is notified early enough to ensure an opportunity to participate.	Notification; contact log

Legal Requirement		Indicator	Documents
200.170 Notification (verbal or written) includes: 300.322(a)(1)(2)(b); SP(III)	The parent(s) 200.170.a. 200.170.b. 200.170.c.	is notified of the following information: The purpose of the meeting is to review evaluation information to determine whether the child is a child with a disability as defined by Missouri eligibility criteria, and the educational needs of the child. The time, date and location of the meeting. A listing of individuals invited to attend the meeting. Individuals attending the meeting are listed by their name and/or specific position(s) within the public agency. AND The parent's and the agency's right to invite other individuals who have knowledge or special	Notification; contaction
200.180	The eligibilit	expertise regarding the child and that the inviting party makes the determination of whether or not the individual has knowledge or special expertise. y staffing is held:	Notification;
Eligibility staffing held within required timelines:	200.180.a.	Within sixty (60) calendar days of the date of the Notice to Evaluate, if no consent required. OR	evaluation report
SP(III); 300.301(c)(1)(i)(ii); 300.301(d)(1); 300.301(d)(2)(e) 300.309(c)	200.180.b.	Where parental consent is required, within sixty (60) calendar days of the agency's receipt of the parent's signed consent. OR	
	200.180.c.	If the evaluation process exceeds 60 days, an explanation for the extension is documented (e.g., snow days, agency vacation days, child's absence because of illness, and summer break, etc.). OR	
	200.180.d.	In determining eligibility for Specific Learning Disabilities (SLD), the parents and evaluation professionals extended the educational evaluation timeline by mutual written agreement. AND (if applicable)	
	200.180.e	For First Step eligible children referred to First Steps at least 90 days prior to their 3rd birthday, the eligibility determination must be made prior to the 3rd birthday.	
200.190 An evaluation report is present: SP(III); 300.306	200.190.a.	A current written summary of the evaluation results and eligibility determination is present in the child's file.	Evaluation report
200.200 Parent is provided a copy of the evaluation report: SP(III); 300.306	200.200.a.	Documentation is present that a copy of the evaluation results and eligibility determination was provided to the parent. 200.200.a.(1) Date of provision of copy (m/d/y).	Evaluation report; contact log
	NOTE: This meeting.	copy must be provided to the parent within a reasonable period of time generally (20 days) after the	

Legal Requirement	Indicator	Documents
200.210 A synthesis of information from the evaluation is present: 300.304, 300.306	200.210.a. The evaluation report addresses the results of assessments conducted in all areas related to the suspected disability. This should include, if appropriate, any or all of the following areas: 200.210.a.(1) Health, 200.210.a.(2) Vision, 200.210.a.(3) Hearing, 200.210.a.(4) Social and emotional status, 200.210.a.(6) General intelligence, 200.210.a.(7) Communicative status, and/or 200.210.a.(8) Motor abilities. 200.210.b. The evaluation information draws upon information from a variety of sources and is sufficiently comprehensive to identify all of the child's special education and related services needs. NOTE (1): In order to be sufficiently, comprehensive the evaluation needs to include and consider: aptitude and achievement tests, parent input, teacher recommendations, the child's physical condition, social or cultural background, and adaptive behavior. NOTE (2): A synthesis of information requires that results from all assessments, observations and existing data are considered together and examined for consistency among the evaluation components. Inconsistencies must be examined by the multidisciplinary team and may change the preliminary impressions from individual assessments. The impact of other factors that may have impacted a student's performance on a particular assessment must also be taken into consideration. The effect of language ability, cultural differences, and sensory issues must also be considered during synthesis. Information from all evaluation components should be synthesized with no single evaluator's interpretation used in isolation for decision making. A synthesis of information will create a truer picture of a student's strengths and weaknesses and ultimately assist the multidisciplinary team to appropriately determine if a student is eligible for special education.	Evaluation report
200.220 Relevant behavior noted during observation: (Autism, Emotional Disturbance and Specific	200.220.a. Documentation of the required observation conducted during the evaluation process includes all required components as addressed in the eligibility criteria for Autism, Emotional Disturbance, and Specific Learning Disability (refer to Eligibility Criteria 600 Autism, 800 Emotional Disturbance, and 1400 Specific Learning Disability).	Evaluation report
Learning Disability - NA for all other categorical disabilities) SP(III); 300.310	NOTE (1): Observations made by an examiner during the testing process do <u>not</u> fulfill the requirement of this standard. NOTE (2): Observation may be part of the evaluation process for any other categorical disability, if determined necessary and appropriate.	
	NOTE (3): Observation, if needed as part of a reevaluation, may be completed in the special education setting and/or, when appropriate, in the regular education setting.	

Legal Requirement	Indicator	Documents		
	NOTE (4): If SLD is suspected, the observation may be conducted during routine classroom instruction and monitoring of performance that was done before the child was referred for an evaluation. This observation must reflect behavior related to the subcategory area of Specific Learning Disability suspected and must contain the name and title of the qualified professional conducting the observation.			
200.230 Description of any variations from standard assessment conditions: SP(III); 300.304	200.230.a. If an assessment is not conducted under standard conditions, a description of the extent to which it varied from the standard conditions is included in the evaluation report.NOTE: These variations may include the qualifications of the person administering the test or the method of test administration.			
200.240 Statement of the existence and nature of the categorical disability: SP(III); 300.305	200.240.a. The report contains a statement of the categorical disability and identifies specific conditions or areas within the category (e.g., SLD in written expression, Speech Impaired – Voice).	Evaluation report		
200.250 For Initial Eligibility: Basis for the determination of eligibility and need for special education and related services for initial evaluation: (NA reevaluation) SP(III); 300.8	200.250.a. Each item is addressed as outlined for a categorical eligibility with appropriate documentation to confirm the presence of a disability and need for special education and related services.	Evaluation report		
200.260 A statement that the disability is not a result of lack of appropriate instruction in reading or math, or limited English proficiency: SP(III); 300.306	The evaluation report reflects that the child's eligibility determination was not based on any of the following factors: 200.260.a. Lack of appropriate instruction in reading, including the essential components of comprehensive literacy instruction (as defined in Section 2221(b)(1) of the ESEA): 200.260.a.(1) Phonemic Awareness, 200.260.a.(2) Phonics, 200.260.a.(3) Vocabulary Development, 200.260.a.(4) Reading Fluency including oral reading skills, and/or 200.260.a.(5) Reading Comprehension Strategies. 200.260.b. A lack of appropriate instruction in math. 200.260.c. Limited English proficiency.	Evaluation report		

Legal Requirement	!	Indicator	Documents		
200.270 Names and roles of the		eterminations are made by a group of qualified professionals and the parent of the child. The eport documents:	Evaluation report		
individuals making the eligibility determination:	200.270.a.	The names and roles of the individuals attending the eligibility determination meeting.			
300.308; 300.306(a)(1); 300.311(b); SP(III)	The child's r teacher qual teach a child children. Re evaluation re	or Specific Learning Disabilities, the eligibility determination team must include: regular education teacher OR if the child does not have a regular teacher, a regular classroom lified to teach a child of her/his age OR for a child of less than school age, an individual qualified to do for her/his age OR at least one person qualified to conduct individual diagnostic examinations of refer to indicator 1400.40.a-d. Each team member except the parent must certify in writing whether the report reflects the team member's conclusion. If it does not, that team member must submit a separate resenting that team member's conclusion. Refer to indicator 1400.50.b.			
		or SSD and Component Districts of SSD: Component districts of a Special School District oppropriate staff to participate in the group that makes the eligibility determination.			
NOTE: 200.280 – 200.320 Delete series indicators.	ed – There are s	specific requirements for items that must be included in evaluation reports for Specific Learning	Disability. See 1400		
REEVALUATION/CONTINUI	ED ELIGIBILIT	TY AND NEED FOR SERVICES			
200.325		200.325.a. Documentation is present for the date of the parent referral for reevaluation (other than required triennial reevaluation).			
200.330 Existing evaluation data on the child is reviewed:	relevant exis	ion is present that the IEP Team and other qualified professionals, as appropriate, reviewed all sting evaluation data on the child. The information reviewed should include: e current IEP,	Meeting notes; review notes; summary of data		
300.303;300.321(a)(1-7);300.305;SP(III)	o Pre	vious evaluations (if available), ormation provided by the parents of the child,	·		
	o Cur	rrent classroom-based assessments including as appropriate age appropriate transition assessments observations,			
	o Stat	te and agency-wide assessments,			
	o Obs	servations by teachers, and			
	o Rela	ated services providers, if applicable.			
	Documentati	ion of the review includes:			
	200.330.a.	A description of all data reviewed and a summary of the information gained from the review of the data.			
	200.330.b.	The date conclusions and decisions are finalized.			
	200.330.c.	The names and roles of the individuals conducting the review. If an individual is serving in more than one (1) role, all parties must be aware of each role in which the individual is serving and each role must be documented.			

Legal Requirement			Indicator	Documents
	NOTE (1):	This review may	be conducted without a meeting.	
	NOTE (2): determines t performance more frequer			
		A reevaluation m reevaluation is no	ust be conducted at least once every three (3) years unless the parent and the LEA interests the parent and the LEA in the necessary.	
	: reports, clas	sroom assessmen	nt Districts of SSD: Component districts of a Special School District provide ts or other resources and materials to the team determining eligibility and designate participate in the group that conducts the reevaluation.	
200.340 Identify what additional data, if any, are needed:	200.340.a.		is present that on the basis of the review of existing evaluation data, and input from int, the IEP team made a determination of what additional data, if any, are needed to	Meeting notes
300.305(a)(2); SP(III)		200.340.a.(1)	Whether the child continues to have the disability identified at the initial or most recent reevaluation. It is not required that the child meet initial eligibility criteria; however, the team must determine that the child continues to show evidence of the disability indicated in the initial or most recent reevaluation.	
	:	200.340.a.(2)	The present levels of academic achievement and related developmental needs.	
		200.340.a.(3)	The educational needs of the child.	
		200.340.a.(4)	Whether the child continues to need special education and related services.	
		200.340.a.(5)	Whether any additions or modifications to the special education and related services are needed to enable the child to meet the measurable annual goals set out in the IEP of the child and to participate, as appropriate, in the general curriculum.	
	200.340.b.	The conclusion	s and decisions resulting from the review are documented.	
200.350	Documentat	ion indicates that	the team determined that no additional data are needed.	Meeting notes
If no additional data are	200.350.a.	The parent was	notified, either verbally or in writing, of this determination.	
needed, notify the child's parent of the decision: 300.305(2)(d); SP(III)	200.350.b.	The notification	n includes:	
	:	200.350.b.(1)	The reason for the decision.	
500.505(2)(u), 51 (III)		200.350.b.(2)	The parent's right to request additional assessments to determine whether the child continues to be a child with a disability and to determine the child's educational needs.	
	: disability ide	entification, upda	additional assessments for reasons other than continued eligibility (e.g., additional ted test results, etc.), the agency would consider the request a parent request for ropriate Notice of Action.	

Legal Requirement	Indicator	Documents
	OR	
Notice of Action – Reevaluation	n, additional data necessary:	
200.360 Written Notice, consent required: 300.503; SP(V)	If it is determined that additional data is necessary and that data will be collected by conducting a test or administering any assessment instrument(s), a written notice is provided and written parental consent is obtained. 200.360.a. Date of the Notice (m/d/y) is not more than 30 calendar days from documented date of review of existing data or the date of parent request for a reevaluation. 200.360.b. Consent is obtained prior to administration of any test(s) or assessment instruments. 200.360.c. Parent signature and date:(m/d/y):	Prior written notice of an action
	 Indicates parent has been fully informed in her/his native language or other mode of communication for all activities for which consent is sought. Grants consent for the proposed reevaluation. Documentation is present that Written Notice was provided to the parents of a child with a disability prior to proposing or refusing to initiate or change the identification, evaluation, educational placement of the child or the provision of FAPE to the child: 200.360.d. Written Notice is present. 200.360.e. Date of Provision of the Notice (m/d/y). 200.360.f. Notice is provided a reasonable time prior to initiation of the action. 	
	NOTE (1): Generally notice must be provided at least ten (10) days prior to the initiation of an action; however, a lesser period may be reasonable in unusual circumstances, e.g. disciplinary changes of placement. NOTE (2): The ten (10) day requirement for provision of a notice prior to initiation of an action may be waived by the parent of the child, except in the case of graduation.	
	tion, additional data necessary: 300.503(b)(1-7);SP(V)	
200.370 Description and explanation of action:	The notice includes: 200.370.a. Description of the action being taken. 200.370.b. Explanation of agency's position and why the action is proposed or refused.	Notice
200.375 Area(s) to be evaluated and test/assessments to be used: 300.304(a)	 200.375.a. Name(s) and description(s) of area(s) of functioning to be assessed. 200.375.b. Names(s) of test(s)/assessment(s) to be used, if known. 	Notice of Action; Description of Areas to be Assessed and Known Tests to be Used Documentation Form
200.380 Options considered and why	200.380.a. Specific other option(s) considered by the IEP team prior to the decision to propose or refuse the action.	Notice

Legal Requirement	Indicator	Documents
rejected:	200.380.b. Why each option listed was rejected.	
200.390 Information used as a basis for the action:	200.390.a. A listing of each evaluation procedure, assessment, record, or report used as a basis for the action.	Notice
200.400 Other relevant factors to the action:	200.400.a. A description of other factors that are relevant to the agency's proposal or refusal.	Notice
200.410	The Prior written notice must contain the following:	Notice
Procedural Safeguards	200.410.a. A statement that the parent has protection under Procedural Safeguards.	
statement:	200.410.b. How to obtain a copy of Procedural Safeguards.	
	200.410.c. Sources for parent to contact to understand the provisions of the Procedural Safeguards. OR	
	200.410.d. A copy of the Procedural Safeguards was provided.	
	OR	
200.420 Attempts to obtain parental	200.420.a. If the parent fails to respond, documentation of two (2) attempts to obtain parental consent is present.	Contact log; Notice of Action
consent are documented:	NOTE (1): At a minimum, a second Written Notice for Reevaluation must be mailed to the parents.	
300.300(c)(1)(i)(ii)(iii); SP(V)	NOTE (2): The agency may proceed with assessments 10 days after the agency's second attempt to obtain consent and the agency did not receive a response. A parent's refusal to give consent is not considered a failure to respond. Failure to respond means there was NO response from the parent.	
	NOTE (3): Agency may, but is not required to pursue the reevaluation by using mediation or due process.	
200.425	200.425.a. Within sixty (60) calendar days of the date of the Notice to Evaluate, if no consent required.	
The eligibility staffing is held:	OR 200.425.b. Where parental consent is required, within sixty (60) calendar days of the agency's receipt of the parent's signed consent.	
	OR	
	200.425.c. If the evaluation process exceeds 60 days, an explanation for the extension is documented (e.g., snow days, agency vacation days, child's absence because of illness, and summer break.)	
	NOTE: Weekends are counted as calendar days except during extended breaks when school is not in session (i.e. holiday break in December and summer break).	
200.430	Documentation is present that the parent was notified of the eligibility staffing.	Notification;
Parent is notified of the eligibility staffing when	200.430.a. The parent is notified early enough to ensure an opportunity to participate.	contact log

Legal Requirement			Indicator	Documents
additional data was collected:				
300.501(b)(1)(i);300.322(a)(1) (2); SP(III)				
200.440	The parent is	notified of the fo	llowing information:	Notification; contact
Notification (verbal or written) includes:	200.440.a.		he meeting is to review evaluation information to determine whether the child a child with a disability.	log
300.322(b)(1)(i); SP(III)	200.440.b.	The time, date as	nd location of the meeting.	
	200.440.c.		viduals invited to attend the meeting. Individuals attending the meeting are listed id/or specific position(s) within the public agency.	
			AND	
	200.440.d.	regarding the ch	agency's right to invite other individuals who have knowledge or special expertise ild and that the inviting party makes the determination of whether or not the nowledge or special expertise.	
200.450 An evaluation report is present when additional data was collected: 300.306(a)(1); SP(III)	200.450.a.	A current evalua	tion report is present in the child's file when additional data was collected.	Evaluation report
200.460 Parent is provided a copy of	200.460.a.	Documentation provided to the p	is present that a copy of the evaluation results and eligibility determination is parent.	Evaluation report; correspondence
the evaluation report: (NA if no assessments required and		200.460.a.(1)	Date of provision of copy (m/d/y).	
eligibility category remains the same as previous eligibility determination): 300.306(a)(2) SP(III)	NOTE (1): T the meeting.	This copy must be	provided to the parent within a reasonable period of time (generally 20 days) after	
			required before the termination of a child's eligibility due to graduation with a he age of eligibility for FAPE under state law.	
200.470 A synthesis of information from the evaluation is present:	is of information suspected disa		eport addresses the results of assessments conducted in all areas related to the lity. This should include, if appropriate, any or all of the following areas:	Evaluation report
300.304,300.306	:	200.470.a.(1) 200.470.a.(2)	Health, Vision,	
300.304,300.300	:	200.470.a.(2) 200.470.a.(3)	Hearing,	
	:	200.470.a.(4)	Social and emotional status,	
	:	200.470.a.(5)	General intelligence,	
	:	200.470.a.(6)	Academic performance (including results of state and agency-wide assessments),	
	•	200.470.a.(7)	Communicative status, and/or	

	200-Special Education Process					
Legal Requirement	Indicator 200.470.a.(8) Motor abilities.	Documents				
	200.470.b. The evaluation information draws upon information from a variety of sources and is sufficiently comprehensive to identify all of the child's special education and related service's needs.					
	NOTE(1): In order to be sufficiently comprehensive, the evaluation needs to include and consider: aptitude and achievement tests, parent input, teacher recommendations, the child's physical condition, social or cultural background, and adaptive behavior.					
200.480 Relevant behavior noted during observation: (Autism, Emotional Disturbance and Specific Learning Disability - NA for all other categorical disabilities)	200.480.a. Documentation of the required observation conducted during the evaluation process includes all required components as addressed in the eligibility criteria for Autism, Emotional Disturbance, and Specific Learning Disability (refer to Eligibility Criteria 600 Autism, 800 Emotional Disturbance, and 1400 Specific Learning Disability).	Evaluation report				
	NOTE (1): Observations made by an examiner during the testing process do <u>not</u> fulfill the requirement of this standard. NOTE (2): Observation may be part of the evaluation process for any other categorical disability, if determined					
SP(III); 300.310	necessary and appropriate. NOTE (3): Observation, if needed as part of a reevaluation, may be completed in the special education setting and/or, when appropriate, in the regular education setting.					
	NOTE (4): If SLD is suspected, the observation may be conducted during routine classroom instruction and monitoring of performance that was done before the child was referred for an evaluation.					
200.490 Description of any variations from standard assessment	200.490.a. If an assessment is not conducted under standard conditions, a description of the extent to which it varied from the standard conditions is included in the evaluation report.NOTE: These variations may include the qualifications of the person administering the test or the method of test	Evaluation report				
conditions: SP(III); 300.304	administration.					
200.500 Statement of the existence and nature of the categorical disability: SP(III); 300.305	200.500.a. The report contains a statement of the categorical disability and identifies specific conditions or areas within the category (e.g., SLD in written expression, Speech Impaired – Voice).	Evaluation report				
200.510 For Continuing Eligibility: Basis for the determination of continued eligibility and need for special education and related services for reevaluation:	200.510.a. The evaluation report confirms the continued existence of a disability and the child's continued need for special education and related services.	Evaluation report				

on report reflects that the child's eligibility determination was not based on any of the following A lack of appropriate instruction in reading including the essential components of comprehensive literacy instruction (as defined in Section 2221(b)(1) of the ESEA): 200.520.a.(1) Phonemic Awareness. 200.520.a.(2) Phonics 200.520.a.(3) Vocabulary Development. 200.520.a.(4) Reading Fluency including oral reading skills. 200.520.a.(5) Reading Comprehension Strategies. A lack of appropriate instruction in math. Limited English proficiency. terminations are made by a group of qualified professionals and the parent of the child. The port documents:	Evaluation report				
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port documents:	Evaluation report				
The names and roles of the individuals attending the eligibility determination meeting					
200.530.a. The names and roles of the individuals attending the eligibility determination meeting.					
For Specific Learning Disabilities, the eligibility determination team must include:					
200.530.b. The child's regular education teacher.					
OR	:				
200.530.c. If the child does not have a regular teacher, a regular classroom teacher qualified to teach a child of her/his age.					
OR					
200.530.d. For a child of less than school age, an individual qualified to teach a child of her/his age.					
AND					
At least one person qualified to conduct individual diagnostic examinations of children.	· ·				
Each team member (except the parent) must certify in writing whether the evaluation report reflects the team member's conclusion. If it does not, that team member must submit a separate statement presenting that team member's conclusion.					
Note for SSD and Component Districts of SSD: Component districts of a Special School District designate appropriate staff to participate in the group that makes the eligibility determination.					
	At least one person qualified to conduct individual diagnostic examinations of children. Each team member (except the parent) must certify in writing whether the evaluation report reflects the team member's conclusion. If it does not, that team member must submit a separate statement presenting that team member's conclusion. and Component Districts of SSD: Component districts of a Special School District designate				

Legal Requirement	Indicator	Documents
NOTE: 200.540 – 200.580 Dele series indicators.	ed – There are specific requirements for items that must be included in evaluation reports for Specific Learning I	Disability. See 1400
200.590 Reevaluation is conducted every three (3) years or more frequently if conditions warrant: (NA initial evaluation) 300.303(b)(2); SP(III)	A reevaluation for each child with a disability is conducted at least once every three years. Documentation is present that: 200.590.a. The required triennial evaluation did not exceed three years. OR 200.590.b. The parent and LEA agree that a triennial reevaluation is unnecessary. OR 200.590.c. The agency conducted a reevaluation prior to determining that a child was no longer a child with a disability. OR 200.590.d. The agency conducted a reevaluation because it determined that the educational or related services needs including improved academic achievement and functional performance of the child warranted a reevaluation. OR 200.590.e. The child's parent or teacher requested a reevaluation, but not more frequently than once a year, unless the parent and LEA agreed otherwise. NOTE (1): The date for reevaluations without collecting additional data will be the date of the official review of existing data by the members of the IEP team and the date documenting their decision that no additional data was required. NOTE (2): For reevaluations requiring collection of additional data, the date of the eligibility staffing will be considered the reevaluation date. NOTE (3): Reevaluations may be conducted more frequently, if conditions warrant, or if child's parent or teacher requests an evaluation. NOTE (4): Reevaluation is not required before the termination of a child's eligibility due to graduation with a regular diploma or exceeding the age of eligibility for FAPE under state law.	Evaluation report; meeting notes
INDIVIDUALIZED EDUCAT	ON PROGRAM (IEP)	
200.600 Parent is notified of the IEP meeting: 300.321(c), 300.322(a)(1); SP(IV)	Documentation is present that the parent was notified of the IEP meeting: 200.600.a. The parent is notified early enough to ensure an opportunity to participate. 200.600a(1): Parent participated in the IEP team meeting OR 200.600.a(2) Documentation of two attempts to invite parent to the IEP meeting with the second attempt being a direct contact (regular or certified mail, phone call, or in person contact).	Notification; contact log

Legal Requirement	Indicator	Documents
200.610 Content of the notification (verbal or written) is documented:	 200.610.a. The parent is informed of all purposes for the meeting. 200.610.b. For students beginning not later than the first IEP to be in effect when the child is 16, post-secondary transition is stated as a purpose of the meeting, at least annually or whenever post-secondary transition is to be discussed at the IEP meeting. 	Notification; contact log
300.321(c), 300.322(b)(1)(2); SP(IV)	 200.610.c. The time and date of the meeting is stated. 200.610.d. The location of the meeting is indicated. 200.610.e. The participants who will be invited to attend are indicated by name and/or specific postion(s) within the public agency. 	
	200.610.f. The parent is informed of his/her right and the agency's right to bring other individuals who have knowledge or special expertise regarding the child, including related services personnel, as appropriate and that the determination of whether or not the individual has knowledge or special expertise is made by the inviting party.	
	200.610.g. The parent of a child previously served in First Steps is informed of his/her right to request that a service coordinator or other representative of First Steps be invited to the initial IEP meeting to assist with a smooth transition of services.	
	NOTE (1): If the purpose of the meeting includes consideration of post-secondary transition, the agency must invite the student and representatives from any other agency likely to provide or pay for services. NOTE (2): The agency must obtain a signed <i>Release of Information</i> , from the parent or child who is age 18+, prior to inviting representatives of other agencies to the meeting.	
200.620 Initial IEP meeting held within required timelines: 300.323(c)(1)300.124; SP(IV) (N/A for annual IEP)	A meeting is held and an IEP is developed within required timelines. Documentation includes: 200.620.a. Date(s) of meeting(s) – (m/d/y). 200.620.b. Dates between the IEP meeting and eligibility determination meeting are not more than thirty (30) calendar days. OR 200.620.c. For children coming from First Steps, the IEP must be in place by the child's third birth date, if the child was referred to First Steps at least 90 days prior to the child's 3rd birthday.	IEP; evaluation report
200.630 Annual Meeting to Review/revise the IEP: 300.324(b)(i); SP(IV) N/A – Initial IEPs	The IEP team meets to review/revise, if necessary, the IEP at least on an annual basis. Documentation includes: 200.630.a. Date(s) of meeting(s) – (m/d/y). 200.630.b. Meetings are no more than 365 calendar days apart.	IEP

Achild's IED may be amended or modified after the annual IEP meeting. Documentation of any amendment mendment motifications is present as follows: 200.640.a. Date of annual IEP meeting.	Legal Requirement	Indicator	Documents
200.640.a. Date of annual IEP meeting, 200.640.b. Date of agreement to amend the IEP, 200.640.c. Date amendments to be implemented, 200.640.c. Date amendments to be implemented, 200.640.c. How agreement made, and 200.640.f. Changes to the IEP include all required components for compliance. No undue delay can occur in providing special education and related services to the child. IEP indicates: 200.650.a. IEP implemented as soon as possible following the IEP meeting: 300.323(e)(2); SP(IV) OR 200.650.b. The reasons for delay are documented, such as: When the IEP meeting occurs during the summer or a vacation period. OR Where there are circumstances which require a short delay (e.g., working out transportation arrangements). OR When the IEP is completed before the child's third birthday. 200.650.c. The IEP is in effect at the beginning of the school year. The child's current IEP is present and accessible: 300.323(d); SP(IV) The child's current IEP is present in the child's file. 200.660.a. A current IEP is present in the child's file. 200.660.b. The child's IEP. AND 200.660.d. The specific accommodations, modifications, and supports that must be provided for the child in			IEP
200.640.b. Date of agreement to amend the IEP, 200.640.c. Dote amendments to be implemented. 200.640.c. How agreement made, and 200.640.f. Changes to the IEP include all required components for compliance. No undue delay can occur in providing special education and related services to the child. The current IEP indicates: 200.650.a. IEP implementation must occur 10 days after notice of action has been sent, unless the parent has agreed to waive the 10 days. OR 200.650.b. The reasons for delay are documented, such as: When the IEP meeting occurs during the summer or a vacation period. OR When the IEP is completed before the child's third birthday. 200.650.c. The IEP is in effect at the beginning of the school year. The child's current IEP is accessible to each individual responsible for its implementation. 200.660.a. A current IEP is present and accessible: 200.660.b. The child's IEP is accessible to each individual responsible for its implementation. 200.660.c. Each teacher and provider are informed of his or her specific responsibilities related to implementing the child's IEP. AND 200.660.d. The specific accommodations, modifications, and supports that must be provided for the child in		·	
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neeting: 100.323(c)(2); SP(IV) 100.323(c)(2); SP(IV) 100.323(c)(2); SP(IV) 100.323(c)(2); SP(IV) 100.650.b. The reasons for delay are documented, such as: 100.660		The current IEP indicates:	
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Legal Requirement		Indicator	Documents
Attendance at the IEP meeting	of team particip	ants: 300.321; SP(IV)	
200.670 Representative of the public agency:	200.670.a.	Documentation is present that a representative of the public agency (LEA) attends the IEP meeting. This individual is any designated member of the school staff who meets the following requirements:	IEP
300.321(a)(4); SP(IV)		200.670.a.(1) Is qualified to provide or supervise the provision of special education,	
		200.670.a.(2) Is knowledgeable about the general curriculum, and	:
		200.670.a.(3) Is knowledgeable about the availability of resources of the public agency. OR	
	200.670.b.	Documentation is present that the member was excused, in whole or in part, because their area of curriculum or related services was not being modified or discussed in the meeting:	
		200.670.b.(1) The parent and the Public Agency agree in writing to the excusal. OR	
	200.670.c.	Documentation is present that the member was excused, in whole or in part, when the meeting involved a modification to or discussion of the member's area of curriculum or related services:	
		200.670.c.(1) Parent and Public Agency consented to the excusal.	
		200.670.c.(2) The member submitted written input into the development of the IEP to the parent and the IEP team prior to the meeting.	
		staff member must have the authority to commit agency resources to implement the IEP and ensure services are set out in the IEP will not be vetoed at a higher administrative level within the agency.	
	representativ representativ	D and Component Districts of SSD: For agencies associated with special school districts, the e of the public agency fulfilling this role represents the special school district. An additional e of the component district is also required to attend unless excusal procedures are documented. istricts of a Special School District designate appropriate staff to participate in the development of	
200.680 Child's regular education	200.680.a.	Documentation is present that at least one regular education teacher of the child, if the child is or may be participating in the regular education environment:	IEP
teacher(s): 300.321(a)(2), 300.321(e)(2)(i)(ii); SP(IV)	:	200.680.a (1) Was in attendance at the IEP meeting.	:
	:	OR	:
	200.680.b.	Documentation is present that the member was excused, in whole or in part, because their area of curriculum or related services was not being modified or discussed in the meeting:	
		200.680.b.(1) The parent and the Public Agency agree in writing to the excusal. OR	
	200.680.c.	Documentation is present that the member was excused, in whole or in part, when the meeting involved a modification to or discussion of the member's area of curriculum or related services:	
	:	200.680.c.(1) Parent and Public Agency consented in writing to the excusal.	:

Legal Requirement	į	Indicator	Documents
		200.680.c.(2) The member submitted written input into the development of the IEP to the parent and the IEP team prior to the meeting.	
		children with more than one regular education teacher, the agency may select which regular acher will serve as a member of the IEP team.	
200.690 Child's special education	200.690.a.	Documentation is present that at least one (1) special education teacher of the child, or if appropriate, at least one (1) special education provider of the child:	IEP
teacher(s): 300.321(a)(3); 300.321		200.690.a.(1) Was in attendance at the IEP meeting. OR	
(e)(2)(i)(ii); SP(IV)	200.690.b.	Documentation is present that the member was excused, in whole or in part, because their area of curriculum or related services was not being modified or discussed in the meeting:	
	:	200.690.b.(1) The parent and the Public Agency agree in writing to the excusal.	
		OR	
	200.690.c.	Documentation is present that the member was excused, in whole or in part, when the meeting involved a modification to or discussion of the member's area of the curriculum or related services:	
	:	200.690.c.(1) Parent and Public Agency consented in writing to the excusal.	
		200.690.c.(2) The member submitted written input into the development of the IEP to the parent and the IEP team prior to the meeting.	
200.700	One (1) of th	e following methods to ensure parent(s) participation is documented:	IEP; contact log;
Child's parent(s): 300.321(a)(1);	200.700.a.	Parent(s) attend(s) the IEP meeting and documentation on the IEP indicates such. OR	correspondence
300.322(c)(d)(1)(2)(3) SP (IV)	200.700.b.	If the parent(s) is/are unable to attend but chose to participate, via an alternative method, documentation on the IEP indicates their participation and the method of participation.	
		OR	
	200.700.c.	Documentation is present the parent(s) chose not to attend or participate.	
	:	OR	
	200.700.d	An IEP meeting may be conducted without a parent in attendance after the agency has attempted to arrange two (2) separate meetings as outlined below. The agency must have documentation of the two (2) attempts to arrange the meetings with the second attempt being a direct contact (contact (regular or certified mail, phone call, or in person contact). Documentation includes one or more of the following:	
		200.700.d.(1) Records of telephone calls made and results.	
		200.700.d.(2) Copies of correspondence sent and responses received.	
		200.700.d.(3) Records of visits made to the home or place of employment and results.	
		ent" refers to a parent, guardian, a person acting as a parent, foster parent, or an educational surrogate the Department of Elementary and Secondary Education.	

Legal Requirement	Indicator	Documents
200.710	200.710.a. The IEP team includes the child at any age, if appropriate.	IEP; Notification
Child:	200.710.b. For IEP meetings addressing secondary transition services, the child is invited.	
300.321(a)(7); 300.321(b)(1)(2); SP(IV)	200.710.c. If the child was age 16+ and did not attend any meeting where the purpose is the consideration of post-secondary goals, documentation must be present that the child's preference and interests related to transition services were considered at the IEP meeting.	
	NOTE: This documentation may include interest inventories, interviews, evaluation data and other information provided by parents, teachers, or others with knowledge of the child.	
200.720 An individual who can	200.720.a. Documentation is present that an individual who can interpret the instructional implication of evaluation results:	IEP
interpret instructional implications of evaluation	200.720.a.(1) Was in attendance at the IEP meeting. OR	
results: 300.321(a)(5); SP(IV)	200.720.b. Documentation is present that the member was excused, in whole or in part, because their area of curriculum or related services was not being modified or discussed in the meeting:	
	200.720.b.(1) The parent and the Public Agency agree in writing to the excusal.	
	OR	
	200.720.c. Documentation is present that the member was excused, in whole or in part, when the meeting involved a modification to or discussion of the member's area of the curriculum or related services:	
	200.720.c.(1) Parent and Public Agency consented in writing to the excusal.	
	200.720.c.(2) The member submitted written input into the development of the IEP to the parent and the IEP team prior to the meeting.	
200.730 Others (specify):	200.730.a. Other participants with knowledge or special expertise regarding the child attended the meeting at the discretion of either the parent or agency.	IEP; correspondence
300.321(a)(6); 300.321(b)(3)(c); SP(IV)	200.730.b. Consent for Release of Information was obtained for any person other than the parent or district staff attending the IEP meeting prior to the start of the IEP meeting.	
	200.730.c. The Release of Information contains the following content:	
	 200.730.c(1): A dated signature of parent or eligible student (Date represents date permission given for release of information). 200.730.c(2): Specific records to be disclosed. 200.730.c(3): A statement describing reason(s) for disclosure. 200.730.c(4): Individual(s) or agency(ies) to which disclosure is to be made. 	
	NOTE: District staff includes district employees including any contracted staff.	
IEP includes the following con	tent:	
200.740 A statement of the present levels of academic	The IEP includes a statement of the child's present level of academic achievement and functional performance that:	IEP
icvois of academic	200.740.a. Is consistent with evaluation/reevaluation results in the evaluation report.	

Legal Requirement		Indicator	Documents
achievement and functional	200.740.b.	Reflects changes in current functioning of the child since the initial/prior IEP.	
performance: 300.320(a)(1)(i)(ii); 300.324; SP(IV)	200.740.c.	Addresses how the child's disability affects her/his involvement and progress in the general education curriculum. (For preschool children, how the disability affects the child's participation in appropriate activities.)	
	200.740.d.	Considers the results of the initial or most recent evaluation of the child.	
	200.740.e.	Addresses the strengths of the child and the concerns of the parent for enhancing the education of their child.	
	200.740.f.	Addresses the academic, developmental and functional needs of the child.	
200.750 Consideration of special	The IEP team appropriate:	considered the following factors and determined services, strategies, supports or devices, as	IEP
factors:	200.750.a.	The child's communication needs.	
300.324(a)(2)(i)(ii)(iv)(v);	200.750.b.	The need for assistive technology services and/or devices.	
SP(IV)	200.750.c.	The language needs of a child with limited English proficiency as those needs relate to the child's IEP.	
	200.750.d.	Positive behavioral interventions, strategies and supports, and other strategies to address behaviors that impede the learning of the child or others.	
200.760	200.760.a.	The IEP indicates whether or not the child is to receive instruction in reading and writing Braille.	IEP
For children who are blind or	If instruction	in Braille is received, the IEP includes:	
visually impaired, the IEP addresses instruction/non-instruction in Braille:	200.760.b.	Specific goals indicating which competencies in reading and writing Braille are to be taught and the level of competency expected to be achieved by the end of the IEP.	
300.324(a)(2)(iii); SP(IV)	200.760.c.	Methods of integrating Braille into normal classroom activities.	
20012 (4)(2)(11), 21 (11)	200.760.d.	Initiation date for Braille instruction and duration of each session.	
	:	OR	
		in Braille is <u>not</u> received, the IEP includes:	
	200.760.e.	The basis for the IEP team's determination that Braille instruction is not appropriate for the child.	
200.770	The IEP indic	ates:	IEP
Statement of referral to	200.770.a.	A referral to Rehabilitation Services for the Blind has been discussed with the parent.	
Rehabilitation Services for the Blind:	200.770.b.	The parent's decision regarding the referral.	
SP(IV)	:		
200.780	The IEP docu	ments that the following factors were considered by the IEP team:	IEP
For children who are deaf or	200.780.a.	The child's language and communication needs.	
hard of hearing, the IEP team considers the child's language and communication needs:	200.780.ь.	Opportunities for direct communication with peers and professional personnel in the child's language and communication mode.	

	200-Special Edi	·
Legal Requirement	Indicator	Documents
300.324(a)(2)(iv); SP(IV)	200.780.c. Academic level.	
	200.780.d. Full range of needs including opportunities for direct instruction in the child's language and communication mode.	
200.790 Student informed of transfer	200.790.a. No later than the child's 17 th birthday, the IEP includes a statement that the child has been informed of the rights under IDEA that will transfer to the child upon her/his 18 th birthday.	d IEP
of parental rights:	NOTE: For information regarding notification of the transfer of rights at age 18, see Indicator 100.410.	
300.320(c), 300.520(a)(3); SP(IV)		
200.800	The IEP for each student beginning not later than the first IEP to be in effect when the child reaches 16 years of	
A statement of the transition service needs: 300.320(b)(1)(2);	age, or younger if determined appropriate, and updated annually includes transition services that are a coordinated set of activities to assist the child in reaching their postsecondary goals based on age appropriate transition assessments.	1
300.43(a)(1)(2)(b); 300.324; SP(IV)	200.800.a. Appropriate, measurable postsecondary goals based on age appropriate transition assessments related to training, education, employment and, where appropriate, independent living skills.	
	200.800.b. Annual IEP goal(s) that will reasonably enable the child to meet the postsecondary goal(s).	:
	200.800.c. Transition services designed in a results-oriented process that are a coordinated set of activities focused on improving the academic and functional achievement of the child to facilitate their movement from school to post-school activities. Services are based on the child's needs, taking into account the child's strengths, preferences and interests.	
	For each postsecondary goal, appropriate transition service(s) must be identified, including but not limited to:	
	o Instruction,	
	o Related service(s),	
	o Community experience,	
	 Development of employment and other post-school adult living objective, 	
	o If appropriate, daily living skill(s), and/or	:
	 If appropriate, provision of a functional vocational evaluation. 	:
	200.800.d. If appropriate, there is evidence that a representative of any participating agency was invited to the IEP team meeting with the proper consent of the parent or student who has reached the age of majority.	
	200.800.e. The measurable postsecondary goals are based on age-appropriate transition assessment.	:
	200.800.f. Transition services include the course of study needed to assist the child in reaching their postsecondary goals.	
	200.800.f.(1) The course of study listed align with the student's identified postsecondary goal(s).	
	200.800.f.(2) The course of study is a multi-year description of coursework from the student's	- - -

Legal Requirement		Indicator	Documents
		current grade to anticipated exit year that is designed to help achieve the student's desired post-school goal(s).	
	200.800.g.	The transition services take into account the child's needs, preferences, and interests.	•
	200.800.i.	There is evidence the student was invited to the IEP team meeting where transition services were discussed.	
200.810	The IEP incl	udes goals that:	IEP
A statement of measurable	200.810.a.	Demonstrate consistency with the content of the present level of performance.	
annual goals, including academic and functional goals:	200.810.b.	Are written in terms that are:	
300.320(a)(2)(A)(B); SP(IV)	:	200.810.b.(1) Specific to a particular skill or behavior to be achieved.	
300.320(a)(2)(A)(B), SI (IV)	:	200.810.b.(2) Measurable.	
	:	200.810.b.(3) Attainable (can reasonably be accomplished within the duration of the IEP).	
	:	200.810.b.(4) Results oriented.	
		200.810.b.(5) Time-bound (generally happen within one year).	
	200.810.c.	Enable the child to be involved in the general education curriculum, as appropriate (for preschool children, participation in appropriate activities).	
	200.810.d.	Address the child's other educational needs resulting from her/his disability.	
	200.810.e.	Are present for each special education and related service (N/A for transportation as a related service).	
	200.810.f.	For children taking alternate assessments, description of benchmarks or short-term objectives aligned to alternate achievement standards. This information may be shown under goals or in the Present Level Of Academic Achievement And Functional Performance.	
	NOTE: Measure be achieved.	surable means written in terms that includes the skill or behavior and the level of attainment that will	
200.820 Special education services to be provided:		on includes a statement of the special education services based on peer reviewed research to the cable to be provided to the child or on behalf of the child to advance appropriately toward obtaining oals:	IEP
300.39, 300.320(a)(4), (i); SP(IV)	200.820.a.	Specific special education service(s).	
	200.820.b.	Amount of time (e.g., minutes, hours, periods, percentage) to be committed to each service that	
		must be:	•
	:	200.820.b.(1) Appropriate to the specific service.	
	:	200.820.b.(2) Stated in a manner clear to all involved in the development and implementation of the IEP.	
	200.820.c.	Duration (i.e., beginning and ending dates for the services).	
	200.820.d.	Location (states the type of environment for provision of the services - e.g., regular education classroom, special education classroom, community worksite, community child care center, etc.).	

Legal Requirement		Indicator	Documents
	200.820.e.	Frequency (states how often the services will occur—e.g., daily, weekly, monthly).	
200.830 Applicable related service(s):		on includes a statement of the related services based on peer reviewed research to the extent o be provided to the child or on behalf of the child:	IEP
(NA for transportation as a related service) 300.34; 300.320(a)(4); SP(IV)	200.830.a. 200.830.b.	Specific related service(s). Amount of time (e.g., minutes, hours, periods, percentage) to be committed to each service that must be:	
		 200.830.b.(1) Appropriate to the specific service. 200.830.b.(2) Stated in a manner clear to all involved in the development and implementation of 	
	200.830.c.	the IEP. Duration (i.e., beginning and ending dates for services).	
	200.830.d.	Location (states the type of environment for provision of the services- e.g., regular education classroom, resource classroom, etc.).	
	200.830.e.	Frequency (states how often the services will occur—e.g., daily, weekly, monthly).	
200.840 Applicable supplementary aids		on includes a statement of the supplementary aids and services based on peer reviewed research to acticable to be provided to the child or on behalf of the child:	IEP
and services:	200.840.a.	Specific supplementary aids and services.	
300.320(a)(4); SP(IV)	200.840.b.	Amount of time (e.g., minutes, hours, periods, percentage) to be committed to each service that must be:	
		200.840.b.(1) Appropriate to the specific service.	
		200.840.b.(2) Stated in a manner clear to all involved in the development and implementation of the IEP.	
	200.840.c.	Duration (i.e., beginning and ending dates for services).	
	200.840.d.	Location (states the type of environment for provision of the services - e.g., regular education classroom, resource classroom, etc.).	
	200.840.e.	Frequency (states how often the services will occur—e.g., daily, weekly, monthly).	
200.850 Program modifications and accommodations: 300.320(a)(7); SP(IV)	child to adva	a statement of the program modifications and accommodations that will be provided to enable the ince appropriately toward attaining the annual goals, to be involved in and make progress in the ation curriculum and to participate in extra-curricular and other non-academic activities and to be participate with other children with disabilities and non-disabled children:	IEP
	200.850.a.	A description of the specific program modifications and accommodations.	
	200.850.b.	Duration (i.e., beginning and ending date for services).	
	200.850.c.	Location (states the type of environment for provision of the services - e.g., regular education classroom, special education classroom, etc.).	
	200.850.d.	Frequency (states how often the services will occur - e.g., daily, weekly, monthly).	

Legal Requirement	Indicator	Documents
200.860 Supports for school personnel: 300.320(a)(4)(ii,iii); SP(IV)	200.860.a. Description of the supports that will be provided for school personnel that are focused on directly assisting staff to meet the unique and specific needs of the child.	IEP
200.870 Transportation: 300.34(a)(16); 300.320(a)(4); SP(IV)	The IEP documents: 200.870.a. The IEP team's decision regarding whether or not the child needs transportation as a necessary related service. 200.870.b. Accommodations, and/or modifications for transportation (e.g., an aide, accessible bus, or door-to-door transportation) are listed, if determined necessary.	IEP
200.880 Extent of non-participation in regular education: 300.320(a)(5); SP(IV)	200.880.a. For any child not participating 100% in the regular education environment (K-12), the IEP must include a description of the extent that the student will not participate and why full participation is not appropriate. OR 200.880.b. For preschool children, if all of the child's special education and related services are not provided in a regular education setting, the IEP includes: 200.880.b.(1) A description of the extent that the child will not receive special education and related services in a regular education setting. 200.880.b.(2) Reasons why the IEP team determined that provision of services in the regular education setting was not appropriate. NOTE: For preschool children, regular education setting is a setting which is designed primarily for children without disabilities.	
200.890 Addresses physical education, as appropriate: 300.108(a)(b)(c)(d); SP(IV)	The IEP addresses: 200.890.a. Whether or not the student participates in a "regular" physical education program. 200.890.b. If the student is not in a regular physical education program, modifications or adjustments are reflected in the IEP. NOTE (1): For preschool children, physical education activities are not required. NOTE (2): For high school students, participation in physical education may not be required if credit has been earned or waived.	IEP
200.900 Addresses participation in program options, nonacademic and extracurricular services and activities: 300.320(a)(4)(ii)(a)(1); SP(IV)	 200.900.a. The IEP contains a statement of the agency's assurance of equal opportunity for the child's participation in program options, nonacademic and extracurricular services, and activities with nondisabled peers. OR 200.900.b. The IEP contains a listing of program options, nonacademic and extracurricular services, and activities in which the child may be expected to participate. NOTE: If program options, nonacademic and extracurricular services and activities exist within the agency for nondisabled children, including preschool children, the same options, nonacademic and extracurricular services 	IEP

Legal Requirement	Indicator	Documents
	and activities must be available to children with disabilities	
200.910	The IEP includes a statement of:	IEP
A statement defining the child's participation in state	200.910.a. The child's participation in state assessments (Grade- Level Assessments, End- of- Course Assessments, and ACCESS for ELLs).	
assessments of student achievement:	200.910.b. Accommodations that are necessary to measure the academic achievement and functional performance of the child.	
300.320(a)(6)(i)(ii)(A)(B); SP(IV)	OR	
(NA for children for whom state assessment is not	If not participating in general state assessment (Grade- Level Assessments, End- of- Course Assessments, and ACCESS for ELLs):	
available at their age/grade	200.910.c. Why the child cannot participate in regular assessment.	:
level)	200.910.d. How the particular alternative assessment is appropriate (MAP-A).	:
	NOTE: Alternate assessment participation is determined by the student's IEP team using the criteria established	•
	by the Department of Elementary and Secondary Education. Refer to the Missouri Alternate Assessment	:
	Checklist for additional guidance in determining eligibility for the alternate assessment.	:
200.920	The IEP includes a statement of:	IEP
A statement defining the	200.920.a. The child's participation in agency-wide assessments as described in the agency's assessment plan.	
child's participation in agency-wide assessments of student achievement:	200.920.b. Accommodations that are necessary to measure the academic achievement and functional performance of the child.	
SP(IV)	OR	:
51 (1 v)	If not participating in general agency-wide assessments:	
(NA for children for whom the	200.920.c. Why the child cannot participate in regular assessment.	:
agency does not administer	200.920.d. How the particular alternative assessment is appropriate.	
any agency-wide assessments		
for all students at their age/grade level)	NOTE: Alternate assessment must assess same areas as agency wide assessment.	
200.930 Consideration of Extended	ESY services are considered by the IEP team and the IEP documents the team's decision with one (1) of the following:	IEP
School Year (ESY):	200.930.a. Not eligible for ESY services.	:
300.106; SP(IV)	200.930.b. Eligible for ESY services.	:
	200.930.c. The determination will be made at a later date.	:
	For eligible students, the IEP identifies:	:
	200.930.d. The IEP goals to be addressed through the ESY services.	:
	200.930.e. Type and amount of services.	:
	200.930.f. The frequency of services.	:

Legal Requirement	Indicator	Documents
9 -	200.930.g. The duration of the services.	
	200.930.h. The location of the services.	
200.940	200.940.a. The IEP includes a description of how progress toward meeting the annual goal(s) will be	IEP; Progress report;
Reporting progress on annual	measured.	meeting notes
goals 300.320; SP(IV)	200.940.b. The IEP includes a statement of when periodic reports on the progress the child is making toward meeting the annual goals will be provided to the parent.	
	200.940.c. The content of the progress report includes the progress toward the annual goal.	
	NOTE: Progress towards the annual goals should reflect data collected to document this progress. Due to the relationship between the annual academic and functional goals of a transition – aged student and the student's postsecondary goals, the public agency could include information about the transition services that were provided during the time subject to the periodic report.	
200.950 Parent is provided a copy of	200.950.a. Documentation indicates that a copy of the IEP was provided to the parent after initial and each annual review.	IEP; correspondence
the IEP:	AND	
300.322(f); SP(IV)	200.950.b. Upon parent request after any amendments.	
	NOTE: This copy must be provided to the parent within a reasonable period of time (generally 20 days) after the annual meeting or parent request after any amendment.	
200.960 Special education and related services are provided in accordance with the IEP: 300.323(a)(e); SP(IV)	200.960.a. Special education and related services are provided as listed on the IEP.	IEP
Notice of Action – Change of Se	ervices:	
200.970	Documentation is present that Written Notice was provided to the parents of a child with a disability prior to	Notice of Action
Parent is provided Prior Written Notice for any change	proposing or refusing to initiate or change the identification, evaluation, educational placement of the child or the provision of FAPE to the child:	rouge of region
of services:	200.970.a. The parent was provided written notice after any change was made in the type or amount of special	
(NA initial IEP development or a subsequent IEP resulting in no change to services)	education and/or related services for the child.	
	200.970.b. Written Notice is Present.	
300.503(a)(1); SP(V)	200.970.c. Date of Provision of the Notice (m/d/y).	
,	200.970.d. Notice is provided a reasonable time prior to initiation of the action.	
	NOTE (1): Generally notice must be provided at least ten (10) days prior to the initiation of an action, however, a lesser period may be reasonable in unusual circumstances, e.g. disciplinary changes of placement.	

Legal Requirement		Indicator	Documents
		he ten (10) day requirement for provision of a notice prior to initiation of an action may be waived	
	by the parent	t of the child, except in the case of graduation.	
Content of notice, when there is	any Change in	Services: 300.503(b)(1-7); SP(V)	
200.980	The notice in	cludes:	Notice
Description and explanation of	200.980.a.	Description of the action being taken.	
action:	200.980.b.	Explanation of agency's position and why the action is proposed or refused.	
200.990 Options considered and why	200.990.a.	Specific other option(s) considered by the IEP team prior to the decision to propose or refuse the action.	Notice
rejected:	200.990.b.	Why each option listed was rejected.	
200.1000 Information used as a basis for the action:	200.1000.a.	A listing of each evaluation procedure, assessment, record, or report used as a basis for the action.	Notice
200.1010 Other relevant factors to the action:	200.1010.a.	A description of other factors that are relevant to the agency's proposal or refusal.	Notice
200.1020	The Prior Wi	ritten Notice must contain the following:	Notice
Procedural Safeguards	200.1020.a.	A statement that the parent has protection under the Procedural Safeguards.	
statement:	200.1020.b.	How to obtain a copy of Procedural Safeguards.	
	200.1020.c.	Sources for parent to contact to understand the provisions of the Procedural Safeguards.	
	-	OR	
	200.1020.d.	A copy of the Procedural Safeguards was provided.	
PLACEMENT			
200.1030	Placement ar	nd/or change of placement recommendations are <u>not</u> made prior to development/revision of the IEP.	IEP; Notice of Action
IEP is developed/revised prior	200.1030.a.	Placement decisions are based on the child's IEP.	
to placement and/or change of placement recommendation:	200.1030.b.	Placement decisions are made only after development or revision of the IEP.	
300.116(b)(2); SP(IV)			
200.1040	Documentati	on is present that:	IEP
Placement decisions are based upon LRE:		The child's IEP team makes placement decisions.	
	•	Placement is determined annually.	
300.115; 300.116; SP(IV)		Placement is based upon the services identified in the child's IEP.	
	:	Placement being as close as possible to the child's home and in the school he/she would attend if nondisabled unless the IEP requires some other arrangement. (N/A for ECSE or student	

Legal Requirement			Indicator	Documents
	:	assigned to scho	ool building at parent request per local school board policy.)	
	200.1040.e.	A continuum of children with dis	alternative placement options is available to meet the needs of all identified sabilities.	
		200.1040.e.(1)	If the placement decision is "Private separate school (day) facility, then the district may only contract with those private agencies that have been approved by the State Board of Education.	
	200.1040.f.		oved from education in age-appropriate regular classroom solely because of needed the general curriculum.	
	The public ag	gency must be abl	e to justify the placement decision in accordance with a two-part inquiry:	
	200.1040.g.		ion in the regular classroom with the use of supplementary aids and services can be ctorily; if not, then,	
		(For preschool c children without	children, regular education setting is a setting which is designed primarily for t disabilities.)	
	200.1040.h.	Whether the chil	ld has been integrated to the maximum extent appropriate.	
	200.1040.i.	The following fa	actors must be considered as a part of the two-part inquiry:	
		200.1040.i.(1)	The curriculum and goals of the regular education class (i.e., factors which document a need for specially designed materials, supplies, or equipment or significant modifications to the regular curriculum which would have an adverse affect on the educational program for other students in the class).	
		200.1040.i.(2)	The sufficiency of the district's efforts to accommodate the child with a disability in the regular class (i.e., description of modifications which have been attempted/resources which have been committed and the student centered results which were observed or a description of the modifications considered by rejected and the basis for the rejection).	
		200.1040.i.(3)	The degree to which the child with a disability will receive educational benefit from regular education (i.e., consideration of the potential positive effects with respect to cognitive, academic, physical, social, or other areas of development).	
		200.1040.i.(4)	The effect the presence of a child with a disability may have on the regular classroom environment and on the education that the other students are receiving (i.e., description of potential harmful effects for the student with a disability or disruptive effects for students without disabilities).	
		200.1040.i.(5)	The nature and severity of the child's disability (i.e., factors which support a need for alternative instruction which cannot be achieved in the regular class such as extreme distractibility, diverse learning styles, and inability to engage appropriately with other students in academic or social interaction).	
			ri Schools for the Severely Disabled, Missouri School for the Blind, and Missouri	
	School for th	<u>e Deaf</u> :		

		ecial Education Process
Legal Requirement	Indicator 200.1040.j. Placement determinations are made during the annual IEP review/revision meeting. agency is unable to attend this meeting, they must document that placement options with the parent at another time. Note for SSD and Component Districts of SSD: The Special School District and component districts of SSD and Component Districts of SSD:	were discussed
Nation of Antion Tuitial Fligibil	collaborate to ensure that a continuum of placement options are available throughout the county. ty and Consent for Initial Services:	:
200.1050 Notice for Initial Eligibility and Notice with Consent for	Documentation is present that Written Notice was provided to the parents of a child with a disability proposing or refusing to initiate or change the identification, evaluation, educational placement of the provision of FAPE to the child:	
Initial Services is provided/ obtained 300.503(a)(1); SP(V)	 200.1050.a. A written notice is provided to the parent prior to provision of services. 200.1050.b Prior Written Notice to parents for their consent to initiate services education must in eligibility category of disability and subcategories, if appropriate, under which the ch for those services. AND	ild qualified
	200.1050.c. Signed parental consent for the initial services is obtained prior to provision of services.	es.
Content of notice for Initial Eligi	pility and Consent for Initial Services: 300.503(b)(1-7) SP(V)	
200.1060 Description and explanation of action:	The notice includes: 200.1060.a. Description of the action being taken. 200.1060.b. Explanation of agency's position and why the action is proposed or refused.	Notice
200.1070 Options considered and why rejected:	200.1070.a. Specific other option(s) considered by the IEP team prior to the decision to propose of action.200.1070.b. Why each option listed was rejected.	or refuse the Notice
200.1080 Information used as a basis for the action:	200.1080.a. A listing of each evaluation procedure, assessment, record, or report used as a basis f	or the action. Notice
200.1090 Other relevant factors to the action:	200.1090.a. A description of other factors that are relevant to the agency's proposal or refusal.	Notice
200.1100	The Prior Written Notice must contain the following:	Notice
Procedural Safeguards statement:	 200.1100.a. A statement that the parent has protection under Procedural Safeguards. 200.1100.b. How to obtain a copy of Procedural Safeguards. 200.1100.c. Sources for parent to contact to understand the provisions of the Procedural Safeguards. 	rds
	OR	ius.
	200.1100.d. A copy of the Procedural Safeguards was provided.	

	200-Special Educ	eation Process
Legal Requirement	Indicator	Documents
200.1110 Date of Consent for Initial Services is prior to implementation of the IEP: 300.503(a)(1); SP(V)	200.1110.a. Parent consent (date of signature) is prior to implementation of IEP (initiation date).200.1110.b. All dates include month, day, and year.	Notice of Action; IEP
Notice of Action – Initial Placen	nent	
200.1120 Notice of Initial Placement is provided:	Documentation is present that Written Notice was provided to the parents of a child with a disability prior to proposing or refusing to initiate or change the identification, evaluation, educational placement of the child or the provision of FAPE to the child:	Notice of Action
300.503(a)(1); SP(V)	 200.1120.a. Documentation is present that a written notice is provided to the parent prior to initial placement. 200.1120.b. Written Notice is present. 200.1120.c. Date of Provision of the Notice (m/d/y). 	
	200.1120.d. Notice is provided a reasonable time prior to initiation of the action.	
	NOTE (1): Generally notice must be provided at least ten (10) days prior to the initiation of an action, however, a lesser period may be reasonable in unusual circumstances, e.g. disciplinary changes of placement.	
	NOTE (2): The ten (10) day requirement for provision of a notice prior to initiation of an action may be waived by the parent of the child, except in the case of graduation.	
Content of notice for Initial Place	cement: 300.503(b)(1-7); SP(V)	
200.1130 Description and explanation of action:	The notice includes: 200.1130.a. Description of the action being taken. 200.1130.b. Explanation of agency's position and why the action is proposed or refused.	Notice
200.1140 Options considered and why rejected:	200.1140.a. Specific other option(s) considered by the IEP team prior to the decision to propose or refuse the action.200.1140.b. Why each option listed was rejected.	Notice
200.1150 Information used as a basis for the action:	200.1150.a. A listing of each evaluation procedure, assessment, record, or report used as a basis for the action.	Notice
200.1160 Other relevant factors to the action:	200.1160.a. A description of other factors that are relevant to the agency's proposal or refusal.	Notice
200.1170 Procedural Safeguards statement:	The Prior Written Notice must contain the following: 200.1170.a. A statement that the parent has protection under Procedural Safeguards. 200.1170.b. How to obtain a copy of Procedural Safeguards.	Notice

	200-Special Educ	ration Process
Legal Requirement	Indicator	Documents
	200.1170.c. Sources for parent to contact to understand the provisions of the Procedural Safeguards.	
	OR	
	200.1170.d. A copy of the Procedural Safeguards was provided.	
Notice of Action – Change of Pl	acement	
200.1180 Notice of Change of Placement is provided,	Documentation is present that Written Notice was provided to the parents of a child with a disability prior to proposing or refusing to initiate or change the identification, evaluation, educational placement of the child or the provision of FAPE to the child:	Notice of Action
including Graduation: (NA if Initial Placement)	200.1180.a. Documentation is present that a written notice is provided to the parent prior to any change in placement and prior to graduation.	
300.503(a)(1); SP(V)	200.1180.b. Written Notice is present.	
	200.1180.c. Date of Provision of the Notice (m/d/y).	
	200.1180.d. Notice is provided a reasonable time prior to initiation of the action.	
	NOTE (1): Generally notice must be provided at least ten (10) days prior to the initiation of an action, however, a lesser period may be reasonable in unusual circumstances, e.g. disciplinary changes of placement.	
	NOTE (2): The ten (10) day requirement for provision of a notice prior to initiation of an action may be waived by the parent of the child, except in the case of graduation.	
Content of Notice for Change o	: : : : : : : : : : : : : : : : : : :	
200.1190	The notice includes:	Notice
Description and explanation of	200.1190.a. Description of the action being taken.	
action:	200.1190.b. Explanation of agency's position and why the action is proposed or refused.	
200.1200 Options considered and why rejected:	200.1200.a. Specific other option(s) considered by the IEP team prior to the decision to propose or refuse the action.	Notice
rejected.	200.1200.b. Why each option listed was rejected.	
200.1210 Information used as a basis for the action:	200.1210.a. A listing of each evaluation procedure, assessment, record, or report used as a basis for the action.	Notice
200.1220 Other relevant factors to the action:	200.1220.a. A description of other factors that are relevant to the agency's proposal or refusal.	Notice
200.1230	The Prior Written Notice must contain the following:	Notice

Legal Requirement	Indicator	Documents
statement:	200.1230.b. How to obtain a copy of Procedural Safeguards.	
	200.1230.c. Sources for parent to contact to understand the provisions of the Procedural Safeguards.	
	: OR	
	200.1230.d. A copy of the Procedural Safeguards was provided.	
Notice of Action - Refused		
200.1240 Notice of Action Refused is provided:	Documentation is present that Written Notice was provided to the parents of a child with a disability prior to proposing or refusing to initiate or change the identification, evaluation, educational placement of the child or the provision of FAPE to the child:	Notice of Action
	200.1240.a. Documentation is present that a written notice is provided to the parent at the refusal of any action.	
300.503(a)(2); SP(V)	200.1240.b. Written Notice is present.	
	200.1240.c. Date of Provision of the Notice (m/d/y).	
	200.1240.d. Notice is provided a reasonable time after the refusal to initiate a requested action.	
	NOTE: Generally, ten (10) days is considered a reasonable amount of time for a public agency to provide prior written notice of a refusal to initiate an action requested by the parent or guardian.	

Content of notice of Action Refused: 300.503(b)(1-7); SP(V)				
200.1250 Description and explanation of action:	The notice includes: 200.1250.a. Description of the action being taken. 200.1250.b. Explanation of agency's position and why the action is proposed or refused.	Notice		
200.1260 Options considered and why rejected:	200.1260.a. Specific other option(s) considered by the IEP team prior to the decision to propose or refuse the action.200.1260.b. Why each option listed was rejected.	Notice		
200.1270 Information used as a basis for the action:	200.1270.a. A listing of each evaluation procedure, assessment, record, or report used as a basis for the action.	Notice		
200.1280 Other relevant factors to the action:	200.1280.a. A description of other factors that are relevant to the agency's proposal or refusal.	Notice		
200.1290 Procedural Safeguards statement:	The Prior Written Notice must contain the following: 200.1290.a. A statement that the parent has protection under Procedural Safeguards.	Notice		

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Legal Requirement	Indicator	Documents
Legar Requirement	200.1290.b. How to obtain a copy of Procedural Safeguards.	Documents
	200.1290.c. Sources for parent to contact to understand the provisions of the Procedural Safeguards.	
	OR	
	200.1290.d. A copy of the Procedural Safeguards was provided.	
200.1300 Part C transition:	Documentation is present that a smooth and effective transition was made for children transitioning from Part C (First Steps) to Part B (ECSE):	Correspondence, Notes in the file,
300.124;SP (IV)	200.1300.a. If invited, representative of local district attended Part C transition meeting.	Contact logs
	200.1300.b. If the representative of the local agency did not attend the meeting, documentation is present that a contact occurred at least 120 days prior to the child's third birthday to explain the process and if the child is eligible, the steps necessary to assure the provision of services by the child's third birthday.	
	NOTE: For further information regarding Part C to B transition, see the Missouri State Plan for Part C.	
PARENTAL REVOCATION (OF CONSENT	
200.1305	If, at any time subsequent to the initial provision of special education and related services, the parent of a child	Notice of Action
Parental Revocation of	revokes consent in writing for the continued provision of special education and related services:	
Consent:	200.1305.a. Documentation is present that the revocation of consent was provided in writing by the parent,	
300.9; 300.300(b)(4)	AND	
	200.1300.b. Documentation is present that the public agency provided the parent with a Notice of Action, Change of Services.	
	NOTE: If the parent revokes consent in writing for their child's receipt of special education services after the child is initially provided special education and related services, the public agency is not required to amend the child's education records to remove any references to the child's receipt of special education and related services because of revocation of consent.	
PROCEDURAL SAFEGUARI	OS STATEMENT	
200.1310	Documentation is present that a copy of the Procedural Safeguards Notice for children and parents was provided	Correspondence, Notes in the file,
Procedural Safeguards Notice	to the parent	Contact logs
provided:	200.1310.a. At least one time per year.	Contact 10gs
300.504; SP(V)	200.1310.a.(1) Date provided (m/d/y).	
	200.1310.a.(2) Dates of provision are not more than 365 days apart.	
	200.1310.b. Upon parent request.	
	NOTE: The Procedural Safeguards Notice must also be provided to the parent at the following times:	
	o Upon initial referral or parental request for evaluation (see Indicator 200.10).	
	o In certain disciplinary circumstances (see Document 300-Discipline).	
	O Upon the first occurrence of the filing of a Due Process Hearing request (In this case a copy of the Procedural Safeguards will be provided by DESE).	

Legal Requirement	Indicator	Documents
SUMMARY OF PERFORMA	NCE	
200.1320 Provision of a summary of Academic Achievement and	For a child whose eligibility terminates due to graduation with a regular diploma, or due to exceeding the age of eligibility (twenty-one) for FAPE, the public agency must provide a summary of the child's academic achievement and functional performance.	Summary of Performance
Functional Performance:	200.1320.a. The summary includes recommendations on how to assist the child in meeting the child's post-secondary goals.	
300.305(e)(3); SP(III)	200.1320.b. The summary was provided to the student.	•
	200.1320.c. Not more than 60 days before or 30 days after the child is graduating with a regular diploma.	
	OR	
	200.1320.d. Not more than 60 days before the child's 21 st birthday, or not more than 30 days after the child's 21 st birthday or 30 days after the child leaves the educational system after turning age 21.	

Missouri Office of Special Education Compliance Standards & Indicators

		300-Discipline
Legal Requirement	Indicator	Documentation
SHORT TERM SUSPENSION	S: 300.530 (a)(2)	
300.10 Removal of ten (10) school days or less in a school year:	School personnel may consider any unique circumstances on case-by-case basis when determining whether a change of placement, consistent with other requirements of this section, is appropriate for a child with a disability who violates a code of student conduct. School personnel may remove a child with a disability who violates a code of student conduct from their current placement to an appropriate interim alternative educational setting, another setting, or suspension, for not more than ten (10) school days in a school year (to the extent such alternatives are applied to children without disabilities). 300.10.a. Discipline records include documentation that student did not exceed ten (10) school days of out of school suspension in a school year.	Agency records; student file
	NOTE: The public agency is not required to provide services to a child with a disability who has been removed from his/her current placement for ten (10) school days or less in a school year, if services are not provided to a child without disabilities who has been similarly removed.	
300.20 Any subsequent removals of a student over ten (10) school days cumulatively in a school year when no change of placement is involved (no pattern of suspension has been created):	School personnel determine whether the current removal constitutes a pattern of suspension. If no pattern is created, then school personnel, in consultation with at least one of the child's teacher's, determine the extent to which services are required on the 11 th school day and thereafter and the location in which services will be provided to enable child to appropriately progress in general curriculum and appropriately advance towards achieving goals set out in IEP. Documentation includes the following:	Agency records; student file
	 300.20.a. Date on which the decision was made regarding the extent to which services were required on the 11th school day and thereafter and the location in which the services would be provided 300.20.b. Listing of participants in the decision 	
	NOTE (1): If the removal is for more than 10 days <u>consecutively</u> or if school district personnel determine a pattern of suspension has been created, see long-term suspension. NOTE (2): To determine whether a pattern is created, consider: 1) if the series of removals total more than ten (10) school days cumulatively in a school year; 2) if the child's behavior is substantially similar to the child's behavior in previous incidents that resulted in the series of removals; and,	

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300-Discipline

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Legal Requirement	Indicator	Documentation
	3) such additional factors as length of each removal, the total amount of time the child has been removed, and the proximity of the removals to one another.	
	Note (3): Whether a pattern of removals constitutes a change of placement is determined on a case-by-case basis by the school district and, if challenged, is subject to review through due process and judicial proceedings.	
	Note (4): For any services provided, they must be designed so as to enable the child to participate in the general education curriculum, although in another setting, and to progress toward meeting the goals set out in the child's IEP. As appropriate, the child should have a functional behavior assessment, and behavioral intervention services and modifications that are designed to address the behavior violation so that it does not recur.	
LONG TERM SUSPENSIONS/	EXPULSIONS (DISCIPLINARY CHANGE OF PLACEMENT): 300.530 (2)(c) (e)	
300.30 Child removed in excess of ten (10) consecutive school days: OR	300.30.a. Documentation is present that the child was removed in excess of ten (10) school days consecutively or has been subjected to a series of removals that exceed ten (10) school days cumulatively and school personnel have determined that those removals constitute a pattern of suspension.	Student file
Removals exceed ten (10) school days cumulatively and constitute a pattern of suspension	300.30.b. Documentation is present that within ten (10) school days after any decision to change the placement of a child with a disability because of a violation of a code of student conduct, a manifestation determination was conducted.	
300.40 Manifestation Determination:	Documentation is present that the local educational agency, the parent, and relevant members of the IEP team (as determined by the parent and the LEA):	Student file
Trialing Station Betermination.	300.40.a. Reviewed all relevant information in the student's file, including	
	300.40.a.(1) The child's IEP	:
	300.40.a.(2) Any teacher observations	:
	300.40.a.(3) Relevant information provided by the parents	
	Documentation is present that the local educational agency, the parent, and relevant members of the IEP team (as determined by the parent and the LEA) made a determination regarding both of the following:	
	300.40.b. Whether the conduct in question was caused by, or had a direct and substantial relationship to the child's disability	
	OR	:
	300.40.c. Whether the conduct in question was the direct result of the LEA's failure to implement the IEP. NOTE: The conduct must be determined to be a manifestation if either of these conditions is met.	
300.50 Conduct is found not to be a	Documentation is present that the local educational agency, the parent, and relevant members of the IEP team (as determined by the parent and the LEA:	
manifestation of a disability:	300.50.a. Found the conduct in question was not caused by, or had a direct and substantial relationship to the	<u> </u>

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	!			500-Discipine
Legal Requirement			Indicator	Documentation
		child's disabili	ty	
(N/A if conduct is found to be	:		AND	· · ·
a manifestation of the	300.50.b.	The conduct in	question was not the direct result of the LEA's failure to implement the IEP	
disability)	Documentation is present that the IEP team:			
	300.50.c.	Determined sea	rvices that would enable the child to:	•
		300.50.c.(1)	Continue to receive educational services to continue to participate in the general education curriculum, although in another setting.	
	:	300.50.c.(2)	Progress toward meeting goals set out in the IEP.	:
		300.50.c.(3)	Receive, as appropriate, a functional behavioral assessment (FBA) and behavior intervention services and modifications, that are designed to address the behavior violation so that it does not recur.	
	300.50.d.	Determined pla	acement.	•
	Documentat	ion is present tha	tt he LEA:	· ·
	300.50.e.	Provided the	parent with Prior Written Notice for change of placement.	:
	300.50.f.	Provided a c	opy of the Procedural Safeguards Notice	
		gencies or private	provided through alternative schools, homebound services, contractual arrangement e agencies, mutually agreed sites off school grounds or other settings <u>as determined</u>	
	interim alter		grees with the team's decision and requests due process, "stay-put" shall be in the al setting pending the decision of the hearing officer, unless the parent and local e otherwise.	
300.60	Documentat	ion is present tha	t local educational agency, the parent, and relevant members of the IEP team:	Student file
Conduct is found to be a manifestation of the disability.	300.60.a.	Found the conchild's disabili	duct in question was caused by, or had a direct and substantial relationship to the ty	
			OR	· · ·
(N/A if conduct is found NOT to be a manifestation of the	300.60.b.	The conduct in	question was the direct result of the LEA's failure to implement the IEP.	
	Documentation is present that the IEP team:			:
disability)	300.60.c.		unctional Behavior Assessment (FBA), unless the LEA had conducted a FBA fore the behavior that resulted in the change of placement occurred	
	:		AND	
	300.60.d.	Implemented a	Behavioral Intervention Plan (BIP) or if a BIP already has been developed,	:

Legal Requirement		Indicator	Documentation
	-	reviewed the BIP and modified it as necessary to address the behavior.	:
	:		:
	Documentat	ion is present that the:	
	300.60.e.	Agency returned the child to the placement from which the child was removed,	
		OR	
	300.60.f.	The parent and LEA agreed to a change of placement as part of the modifications to the Behavior Intervention Plan (BIP)	
ECIAL CIRCUMSTANCE	S/FORTY-FIVE	(45) SCHOOL DAY INTERIM ALTERNATIVE EDUCATIONAL PLACEMENT: 300.530 (g)	300.531

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J	v	v	• /	v

Forty-five (45) day removal – weapons/drugs/serious bodily injury:

Whether or not the behavior was a manifestation of the child's disability, school personnel may remove a student to an interim alternative educational setting (determined by the child's IEP team) for up to forty-five (45) school days if the child:

300.70.a. Carries or possesses a weapon to school, or has a weapon at school, on school premises, or at a school function under the jurisdiction of the State Educational Agency or a school district.

OR

300.70.b Knowingly has or uses illegal drugs, or sells or solicits the sale of a controlled substance, while at school, on school premises, or at a school function under the jurisdiction of the State

Educational Agency or a school district; or,

OR

Has inflicted serious bodily injury upon another person while at school, on school premises, or at 300.70.c. a school function under the jurisdiction of the State Educational Agency or a school district.

Documentation is present that on the date the superintendent or designee issued the 45 school day suspension the parent(s) and/or majority-aged student was:

300.70.d. Notified of the decision

300.70.e. Provided a copy of the Procedural Safeguards Notice

Documentation is present that within ten (10) school days after any decision to change the placement of a child with a disability because of a violation of a code of student conduct:

300.70.f A manifestation determination was conducted.

Documentation is present that the local education agency, parent, and relevant members of the IEP team:

Found the conduct in question was caused by, or had a direct and substantial relationship to the 300.70.g.

child's disability or was the direct result of the LEA's failure to implement the IEP.

OR

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Legal Requirement			Indicator	Documentation
	300.70.h.		n question was not caused by, or had a direct and substantial relationship to the ity or was not the direct result of the LEA's failure to implement the IEP.	
	300.70.i.	The IEP deterr	mined services that would enable the child to:	· ·
		300.70.i.(1)	Continue to receive educational services to continue to participate in the general education curriculum, although in another setting.	
		300.70.i.(2)	Progress toward meeting goals set out in the IEP.	· ·
		300.70.i.(3)	Receive, as appropriate, a functional behavioral assessment (FBA) and behavior intervention services and modifications, that are designed to address the behavior violation so that it does not recur.	
			ive educational setting must be determined by the IEP team for removals that are y-five (45) school day placements described under special circumstances.	
			of the IEP team determine the conduct was not a manifestation of the disability sciplinary procedures consistent with those applied to children without disabilities.	
300.80 Forty-five (45) day removal – violent/dangerous student:	seek a forty-fi	ve (45) school da	self/himself or others, the agency can request an expedited due process hearing to any interim alternative educational placement that will allow the child to receive nable him/her to:	
, rotona dungorodo otadonii	300.80.a.	Continue to pa	articipate in the general education curriculum, although in another setting.	
NA except when ordered by a	300.80.b.	Progress towa	rd meeting goals set out in the IEP.	
hearing officer through expedited due process hearing)	300.80.c.		propriate, a functional behavioral assessment (FBA) and behavior intervention nodifications, that are designed to address the behavior violation so that it does not	
	Documentatio	on is present that	the agency:	
	300.80.d.	Notified the pa	arent of the decision to seek this order on the day the decision is made.	
	300.80.e.	Provided the p	parent with a copy of the Procedural Safeguards.	
	300.80.f.	Filed a reques	t for expedited hearing with DESE.	
	300.80.g.		onal agency, the parent, and relevant members of the IEP team (as determined by the LEA) conducted a manifestation determination.	•
			uct is manifestation of the disability will not affect whether the student receives a ement in an interim alternative educational setting.	
			onal due process hearing, a hearing officer may extend placement for an additional ement(s) if the student continues to present a danger to himself or others.	
		•	removal of a violent, dangerous student would be to file for an injunction with	

300-Discipline

Legal Requirement	Indicator	Documentation
	court of competent jurisdiction.	
	NOTE (4): If the team determines the conduct is not a manifestation of the disability, then the agency may impose disciplinary procedures consistent with those applied to children without disabilities.	

Missouri Office of Special Education Compliance Standards & Indicators

400-Speech Implementer Model

	<u> </u>	•	implementer Model
Legal Requirement		Indicator	Documentation
NOTE: Basis for legal requir	rement may be for	ound in DESE Requirements for Implementation.	
400.10 Annual approval:	400.10.a.	The agency requests approval from DESE to adopt the implementer model for speech therapy services.	Letter of request and DESE approval letter
	400.10.b.	The request includes the names and social security numbers of the individuals employed as speech pathologist and implementer.	
400.20 Speech pathologist has	400.20.a.	Speech pathologist has a valid Speech Specialist Certificate from the State Board of Education	Agency file, DESE approval letter
required credentials:	:	OR	
	400.20.b.	Speech pathologist has an appropriate Missouri license from the State Board of Registration for the Healing Arts.	
400.30 Implementer has required	400.30.a.	Implementer has a Bachelor's Degree in Communication Disorders and a Missouri Teaching Certificate.	Agency file, DESE approval letter
credentials:	:	OR	
	400.30.b.	A Bachelor's Degree in Elementary or Secondary Education and a valid Missouri teaching certificate.	
	Communicat	nable to hire an individual with a Bachelor's Degree in Communication Disorders or ion Science, preference should be given to individuals with special education or elementary kgrounds to fill the implementer position.	
400.40 Speech pathologist's caseload adjusted:	400.40.a.	The caseload for a supervising speech pathologist employed by the agency to provide speech therapy is reduced to reflect the added responsibilities associated with the supervision of an implementer.	Core Data report, agency files
	:	OR	
	400.40.b.	The job responsibilities of a supervising speech pathologist employed by the agency in any other capacity are considered when assigning that person to supervise an implementer.	
	:	OR	
	400.40.c.	When contracting with a private consultant to provide supervision for the implementer, the agency considers the individual's other activities and responsibilities before determining the number of students the contracted speech pathologist can serve.	

400-Speech Implementer Model

Legal Requirement		Indicator	Documentation
NOTE: Basis for legal requi	rement may be for	ound in DESE Requirements for Implementation.	
400.50 Written outline describes	400.50.a.	A written description of the activities unique to the needs of the agency is maintained by the supervising speech pathologist.	Agency written description
model:	400.50.b.	The written description outlines the specific activities and procedures to be used by the speech pathologist and the implementer to address the requirements covered in the DESE <i>Requirements for Implementation</i> , guidelines for the Speech Implementer Model.	
		SE Requirements for Implementation can be found on the Office of Special Education website tained by contacting the Office of Special Education, Compliance Section.	
400.60 Speech pathologist provides training:	400.60.a.	The supervising speech pathologist provides training for any activities that the implementer is assigned.	Agency files
400.70 Speech pathologist	400.70.a.	The speech pathologist maintains regular contact and supervision with the implementer for all activities assigned to the implementer.	Agency files
provides supervision:	implementer	level of supervision may vary depending on the experience and prior training of the Decisions about direct contact and supervision will be the responsibility of the speech The supervising pathologist is ethically responsible for the practices and activities provided.	
400.80 Speech pathologist	400.80.a.	Documentation indicates that the supervising speech pathologist conducts periodic therapy sessions with the children assigned to the implementer.	Agency written plan and student files
conducts periodic therapy:	400.80.b.	The method of documentation is described in the agency's written outline for implementation of the model.	
		frequency of these direct therapy sessions are to be determined by the supervising speech nd will depend on the individual needs of the children being served.	
400.90 Speech pathologist conducts all evaluations:	400.90.a.	Documentation indicates that evaluations for communication disorders are conducted by a qualified speech pathologist.	Agency files, student files
400.100 Speech pathologist attends/provides input for eligibility staffing:	400.100.a.	A qualified speech pathologist provides the information necessary for eligibility determination	Agency files, student files
400.110 Speech pathologist participates in IEP development:	400.110.a.	A qualified speech pathologist is an active participant in writing and developing the initial IEP.	IEP

400-Speech Implementer Model Rev. July 10, 2014

400-Speech Implementer Model

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal require		
	400.110.b. A qualified speech pathologist is an active participant in writing, developing, revising and/or modifying any subsequent IEPs when their services are considered.	
400.120 Agency recruitment efforts documented:	400.120.a. The agency continues efforts to recruit a qualified speech pathologist and/or a qualified speech language pathology assistant on an annual basis. NOTE: Approval to use the speech implementer model is given on an annual basis and requires the agency to actively recruit for a qualified speech pathologist and/or a qualified speech language pathology assistant prior to requesting approval to use the model. Documentation of the recruitment efforts must be submitted annually with the Application for Approval of the Speech Implementer Model.	Agency files, newspaper advertisements, position posting notices

Missouri Office of Special Education Compliance Standards & Indicators

500-Transfer: In-State

Legal Requirement	Indicator	Data Source
SP IV		•
SECTION 1: PROCEDURES A	PPLICABLE TO ALL IN-STATE TRANSFERS: SP (IV); Fed. Regulations 300.323(e)	
500.10	Documentation indicates:	Agency records and
Enrollment date:	500.10.a. The date (m/d/y) the child enrolled in the agency.	student file
500.20	Documentation indicates:	Agency records and
Upon enrollment, agency determines known or suspected disability	500.20.a. The agency has procedures in place to determine if a child has a known or suspected disability at the time of enrollment.	student file
500.30 Request for records	To facilitate the transition for a child entering a school from another school district in Missouri the new school in which the child enrolls shall take reasonable steps to promptly obtain the child's records, including the IEP and supporting documents and any other records relating to the provision of special education or related services to the child, from the previous school. The previous school shall take reasonable steps to promptly respond to such request from the new school.	Agency records and student file
	Documentation includes:	
	500.30.a. Date (m/d/y) agency requested records	
	500.30.b. A specific listing of records requested (e.g., evaluation report, IEP, etc.)	:
	500.30.c. Date(s) (m/d/y) agency received records	
	If NO records received at enrollment, proceed to 500.40 If evaluation report, but no IEP received, proceed to 500.90 If IEP, but no evaluation report, proceed to 500.150 If an evaluation report and IEP are received at enrollment, proceed to 500.250	
	NOTE (1): Even though the parent provides copies of records upon enrollment, Missouri's Safe Schools Act requires receiving school districts to request records within two (2) business days of enrollment. Sending Missouri districts are required to send records within five (5) business days of receiving a request for records.	
	NOTE (2): An educational agency or institution may disclose personally identifiable information from an education record of a student without consent if the disclosure is to officials of another school, school system, or institution of postsecondary education where the student seeks or intends to enroll. See Indicators 100.390-100.400 for specific information pertaining to release of information.	

Legal Requirement	Indicator	Data Source
SP IV	Thureator .	Data Source
	N DEDODT AND NO IED DECEIVED AT ENDOLL MENT	
	N REPORT AND NO IEP RECEIVED AT ENROLLMENT	·
500.40	: When NO evaluation report or IEP are received at enrollment, but where there is a known or suspected disability : (e.g., parent indicates child is in special education, obvious disability, etc.) documentation indicates:	y Agency records and student file
Interviews:	500.40.a. Receiving agency interviewed parent or student (age 18+), immediately upon enrollment	
	AND	:
	500.40.b. Receiving agency interviewed officials of the sending agency immediately upon enrollment	
	Documentation includes:	:
	500.40.c. Date of interview(s) (m/d/y)	
	500.40.d. Name(s) and role(s) of individuals interviewed	
	500.40.e. Information gained from interviews (e.g., assessment dates/results, eligibility determination/staffin date, special education and related services, placement, etc.)	ng
	AND	
500.50 Interview information NOT	When information gained through interviews <u>is not</u> sufficient to reasonably suspect that the child has a disability and to write an IEP and determine placement for special education and related services, documentation indicates	
sufficient	500.50.a. Student placed in regular education classroom	
	500.50.b. Progress monitored	
	500.50.c. Referral for evaluation made if performance indicates a need.	:
	OR	
500.60 Interview information <u>IS</u>	When information gained through interviews <u>IS</u> sufficient to reasonably suspect that the student has a disability and to write an IEP and determine placement, documentation indicates the public agency, upon review of all interview information, proceeded to:	Agency records and student file
sufficient:	500.60.a. Convene the IEP team	
	500.60.b. Develop and implement an IEP	
	If there was any delay in convening an IEP team to develop and implement an IEP,	
	500.60.c. The Public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the child's IEP from the previous public agency until such time at the public agency adopted the IEP from the previous public agency or developed a new IEP that is consistent with Federal and State regulations.	as

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Legal Requirement		Indicator	Data Source
SP IV			
	developed a r If IEP has alr	the IEP from the previous public agency is received within 30 days and the district has not yet new IEP, proceed to Section 5 and follow "IEP Reviewed" procedures outlined in indicator 500.280. ready been developed from interview information, review the previous IEP and, if necessary, convene to review, revise the current IEP.	
Current evaluation report receive	ed within 30 da	ays	
500.70	If current eva	aluation report is received within 30 days	Agency records and
Agency accepts evaluation report	500.70.a.	Agency reviews evaluation report and	student file
	500.70.b.	Agency documents acceptance of the evaluation report.	
500.80		agency rejects evaluation report, go to 500.110 with a known or suspected disability, when a current evaluation report IS NOT received within 30	Agency records and
If current evaluation report NOT received within 30 calendar days		s of the date of enrollment, or the agency rejects an evaluation report received within 30 days,	student file
or if the agency rejects evaluation	500.80.a.	Reevaluation procedures initiated	
report received within 30 days		AND	
	500.80.b.	If child found eligible at conclusion of reevaluation, within 30 days of eligibility determination an IEP team meeting is held, if necessary, to review and revise the IEP currently being implemented	
	:	OR	
	500.80.c.	If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.	
	:		:
	:		:

SECTION 3: EVALUATION REPORT RECEIVED, NO IEP RECEIVED AT ENROLLMENT

Legal Requirement	Indicator	Data Source
SP IV		•
500.90	When evaluation report is received and NO IEP received at enrollment, documentation indicates:	Agency records and student file
Interviews:	500.90.a. Receiving agency interviewed parent or students (age 18+), immediately upon enrollment	
	AND	
	500.90.b. Receiving agency interviewed officials of the sending agency immediately upon enrollment	
	Documentation includes:	
	500.90.c. Date of interview(s) (m/d/y)	
	500.90.d. Name(s) and role(s) of individuals interviewed	
	500.90.e. Information gained from interviews (e.g., assessment dates/results, eligibility determination/staffing date, special education and related services, placement, etc.)	
500.100	Immediately upon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of	Agency records and
Evaluation report received and reviewed:	the school year, by the beginning of the school year, the public agency reviewed the evaluation report to determine whether to accept or reject it. Documentation indicates:	student file
	500.100.a. Date of first day of school year (m/d/y)	
	500.100.b. Date evaluation report received (m/d/y)	:
	500.100.c. Date evaluation report reviewed (m/d/y)	
	500.100.d. Name(s) and role(s) of reviewer(s)	
	NOTE (1): The decision to accept or reject the evaluation report is an administrative decision and does not require the participation of the IEP team.	
500.110 Agency <u>rejects</u> evaluation report:	Review of the evaluation report indicates information <u>IS NOT</u> sufficient for eligibility determination and/or	Agency records and
	DOES NOT meet compliance requirements. Documentation indicates:	student file
	500.110.a. Rejection of the evaluation report	
500.120 Initiates re-evaluation and develop/implement IEP:	: If evaluation report <u>not</u> accepted, develop/implement IEP based on interview information and information in the evaluation report:	Agency records and student file
	500.120.a. Public agency initiates reevaluation	student me
	AND	:
	500.120.b. Convenes IEP team meeting	
	500.120.c. Develops and implements an IEP based on information from interviews and the evaluation report	:

Legal Requirement	Indicator	Data Source	
P IV			
	AND	:	
	500.120.d. If child found eligible at conclusion of reevaluation, within 30 days of eligibility determination, an IEP team meeting is held, if necessary, to review and revise the IEP currently being implemented		
	If there is any delay in convening an IEP team meeting to develop a new IEP, and the district was able to gather sufficient information from interviews and the evaluation report, documentation is present that:		
	500.120.e. The Public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency could adopt the previous IEP or convene an IEP team meeting to develop a new IEP that is consistent with Federal and State law and regulations.		
	OR		
	500.120.f. If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.		
	NOTE (1): For reevaluation procedures, see indicators 200.340		
	NOTE (2): In situations when an IEP is developed based on interview information, if the IEP is received from the previous district within 30 days of enrollment, review IEP from the previous district and, if appropriate, convene IEP team to determine if revisions to the current IEP are necessary.		
	NOTE (3): If the IEP is received from the previous district within 30 days of enrollment and district has not developed a new IEP, follow "IEP Reviewed" procedures under Section 5, unless child has been determined ineligible based on a reevaluation.		
	NOTE (4): Parental consent for placement is not required when a child has been receiving special education services under the IDEA in another public agency.		
	OR		
500.130 Agency accepts evaluation report:	Review of the evaluation report indicates information <u>IS</u> sufficient for eligibility determination and contains <u>ALL</u> requirements for compliance. Documentation indicates:	Agency records and student file	
	500.130.a. Acceptance of the evaluation report		
00.140	If evaluation report accepted:	Agency records and	
f evaluation report accepted	500.140.a. Convene an IEP team meeting	student file	
levelop and implement IEP:	500.140.b. Develop and implement an IEP based on information from interviews and the evaluation report		

Legal Requirement	Indicator	Data Source
SP IV		
	If there is any delay in convening an IEP team meeting to develop a new IEP, and the district was able to gather sufficient information from interviews and the evaluation report, documentation is present that:	
	500.140.c. The public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency could adopt the previous IEP or convene an IEP team meeting to develop a new IEP that is consistent with Federal and State law and regulations.	
	NOTE (1): In situations when an IEP is developed based on interview information, if the IEP is received from the previous district within 30 days of enrollment review IEP from the previous district and, if appropriate, convene IEP team to determine if revisions to the current IEP are necessary.	
	NOTE (2): If the IEP is received from the previous district within 30 days of enrollment and district has not developed a new IEP, follow "IEP Reviewed" procedures under Section 5.	
	NOTE (3): Parental consent for placement is not required when a child has been receiving special education services under the IDEA in another public agency.	
SECTION 4: IEP RECEIVE	D, <u>NO</u> EVALUATION REPORT RECEIVED AT ENROLLMENT.	
500.150	Documentation is present as follows:	Agency records and student file
IEP received:	500.150.a. Date of first day of school year (m/d/y)	
	500.150.b. Date IEP received (m/d/y)	
500.160	Immediately upon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of	Agency records and student file
IEP reviewed:	the school year, by the beginning of the school year, the public agency reviewed the previous IEP to determine whether to accept or reject it. Documentation indicates:	
	500.160.a. Date IEP reviewed (m/d/y)	
	500.160.b. Name(s) and role(s) of reviewer(s)	
	Note 1: The decision to accept or reject the IEP is an administrative decision and does not require the participation of the IEP team.	
	AND	
		:

500-Transfer: In-State

Legal Requirement	Indicator	Data Source
SP IV		
500.170	Review of the IEP indicates information <u>IS</u> sufficient to accept it. Documentation indicates:	Agency records and
IEP Accepted:	500.170.a. IEP accepted	student file
	NOTE: Acceptance of the IEP means that it <u>can be</u> implemented as written without <u>any</u> revisions. If <u>any</u> parts of the IEP are unacceptable, proceed to indicators that are used when the IEP is rejected.	
	OR	
500.180	Review of the IEP indicates information <u>IS NOT</u> sufficient to accept it. Documentation indicates:	Agency records and
EP Rejected:	500.180.a. IEP rejected	student file
	500.180.b. IEP Team convened to develop new IEP	
500.190 Public agency implements comparable services in interim:	If there is any delay in determining acceptance or rejection of the previous IEP or if previous IEP is rejected and there is a delay in convening an IEP team meeting to develop a new IEP, documentation is present that:	Agency records and student file
	500.190.a. The public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency adopted the previous IEP or convened an IEP team meeting to develop a new IEP that is consistent with Federal and State regulations.	
	AND	
500.200	The public agency reviewed the evaluation report to determine whether to accept or reject it. Documentation	Agency records and
f current evaluation report	indicates:	student file
eceived within 30 days of enrollment the agency reviews	500.200.a. Date of first day of school year (m/d/y)	
report:	500.200.b. Date evaluation report received (m/d/y)	:
	500.200.c. Date evaluation report reviewed (m/d/y)	:
	500.200.d. Name(s) and role(s) of reviewer(s)	:
	NOTE: The decision to accept or reject the evaluation report is an administrative decision and does not require the participation of the IEP team.	

500-Transfer: In-State

Legal Requirement	Indicator	Data Source
SP IV		•
500.210 Agency <u>rejects</u> evaluation report:	Review of the evaluation report indicates information <u>IS NOT</u> sufficient for eligibility determination and/or <u>DOES NOT</u> meet compliance requirements. Documentation indicates:	Agency records and student file
Agency <u>rejects</u> evaluation report.	500.210.a. Rejection of the evaluation report	
	500.210.b. Initiation of reevaluation procedures	
	NOTE (1): For reevaluation procedures, see Indicators 200.340.	:
	NOTE (2): In determining whether the child is eligible, the agency must apply Missouri eligibility criteria as stated in the <i>Special Education Compliance Program Review Standards and Indicators Manuals</i> . However, the team must also take into consideration any supports and services the child has been or is presently receiving.	
	OR	
500.230	Review of the evaluation report indicates information <u>IS</u> sufficient for eligibility determination and contains <u>ALL</u>	Agency records and
Agency <u>accepts</u> evaluation report:	requirements for compliance. Documentation indicates: 500.230.a. Acceptance of the evaluation report	student file
		:

Legal Requirement		Indicator	Data Source
SP IV	•		
500.240 If current evaluation report NOT received within 30 calendar days:		t with a known or suspected disability, when a current evaluation report <u>IS NOT</u> received within 30 s of the date of enrollment, documentation indicates: Reevaluation procedures initiated	Agency records and student file
received within 50 calcidat days.	:	AND	
	500.240.b.	IEP implemented AND	
	500.240.c.	If child found eligible at conclusion of reevaluation, within 30 days of eligibility determination an IEP team meeting is held, if necessary, to review and revise the IEP currently being implemented	
		OR	
	500.240.d.	If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.	

SECTION 5: EVALUATION REPORT AND IEP RECEIVED AT ENROLLMENT

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500 250

Evaluation report received and reviewed:

Immediately upon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of the school year, by the beginning of the school year, the public agency reviewed the evaluation report to determine whether to accept or reject it. Documentation indicates:

Agency records and student file

500.250.a. Date of first day of school year (m/d/y)500.250.b. Date evaluation report received (m/d/y)

500.250.c. Date evaluation report reviewed (m/d/y)

500.250.d. Name(s) and role(s) of reviewer(s)

NOTE: The decision to accept or reject the evaluation report is an administrative decision and does not require the participation of the IEP team.

AND

500-Transfer: In-State

Legal Requirement	Indica	or	Data Source
SP IV			•
500.260	eview of the evaluation report indicates information <u>IS N</u> OES NOT meet compliance requirements. Documentation		Agency records and student file
Agency <u>rejects</u> evaluation report:	00.260.a. Rejection of the evaluation report		:
	ANI		:
	00.260.b. Initiation of reevaluation procedures		
	ANI		
	00.260.c. IEP implemented		
	NOTE (1): For reevaluation procedures, see 200.340.		
	ANI		
	the conclusion of the reevaluation:		
	00.260.d. If the child is found eligible, within 30 days necessary, to review or revise the current IEI	of determination of eligibility, the IEP team met, if	
	OR		:
	00.260.e. If child is found ineligible, the parent was pr Change of Placement and child exited from s	ovided with a Notice of Action for Ineligibility/ervices.	
	OR		
500.270	eview of the evaluation report indicates information <u>IS</u> suquirements for compliance. Documentation indicates:	fficient for eligibility determination and contains <u>ALL</u>	Agency records and student file
Agency <u>accepts</u> evaluation report:	00.270.a. Acceptance of the evaluation report		

Legal Requirement		Indicator	Data Source
SP IV			
500.280 IEP reviewed:		eginning of the school year) or if enrollment prior to the beginning of bool year, the public agency reviewed the previous IEP to determine on indicates:	Agency records and student file
	500.280.a. Date IEP reviewed (m/d/y)		
	500.280.b. Name(s) and roles(s) of review	wer(s)	
	Review of the IEP indicates information <u>IS</u>	sufficient to accept it. Documentation indicates:	
	500.280.c. IEP accepted		
		OR	
	Review of the IEP indicates information <u>IS</u>	NOT sufficient to accept it. Documentation indicates:	:
	500.280.d. IEP rejected		
	500.280.e. IEP team convened to develop	p new IEP	:
		AND	
	If Evaluation Report Rejected		
	500.280.f. Reevaluation initiated		
	500.280.g. Within 30 days of determination the IEP	ion of eligibility, the IEP team met, if necessary, to review and revise	
		OR	
	500.280.h. If child is found ineligible, the of Placement and child exited	e parent was provided with a Notice of Action for Ineligibility/Change I from services.	
	NOTE (1): The decision to accept or reject participation of the IEP team.	the IEP is an administrative decision and does not require the	
	NOTE (2): Acceptance of the IEP means th parts of it are unacceptable, proceed to indic	nat it <u>can be</u> implemented as written without <u>any</u> revisions. If <u>any</u> reators that are used when IEP is rejected.	

500-Transfer: In-State

Legal Requirement	Indicator	Data Source
SP IV		•
500.290 Public agency implements comparable services in interim:	y delay in determining acceptance or rejection of the previous IEP or if previous IEP is rejected and ay in convening an IEP team meeting to develop a new IEP, documentation is present that: The Public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency adopted the previous IEP or convened an IEP team meeting to develop a new IEP that is consistent with Federal and State law and regulations.	Agency records and student file

Legal Requirement	Indicator	Data Source
SP IV; Fed. Regulations 300.323(f)	
SECTION 1: PROCEDURES A	PPLICABLE TO ALL OUT-OF-STATE TRANSFERS	
550.10	Documentation indicates:	Agency records and
Enrollment date:	550.10.a. The date $(m/d/y)$ the child enrolled in the agency.	student file
550.20	Documentation indicates:	Agency records and
Upon enrollment, agency determines known or suspected disability	550.20.a. The agency has procedures in place to determine if a child has a known or suspected disability at the time of enrollment.	student file
550.30 Request for records	To facilitate the transition for a child entering a school from an out-of-state school, the new school in which the child enrolls shall take reasonable steps to promptly obtain the child's records, including the IEP and supporting documents and any other records relating to the provision of special education or related services to the child, from the previous school in which the child was enrolled and the previous school in which the child was enrolled shall take reasonable steps to promptly respond to such request from the new school. Documentation includes:	Agency records and student file
	550.30.a. Date (m/d/y) agency requested records	:
	550.30.b. A specific listing of records requested (e.g., evaluation report, IEP, etc.)	:
	550.30.c. Date(s) (m/d/y) agency received records	:
	If NO records received at enrollment, proceed to 550.40. If evaluation report, but no IEP received, proceed to 550.80 If IEP, but no evaluation report, proceed to 550.150. If an evaluation report and IEP are received at enrollment, proceed to 550.200 NOTE (1): An educational agency or institution may disclose personally identifiable information from an education record of a student without consent if the disclosure is to officials of another school, school system, or institution of postsecondary education where the student seeks or intends to enroll. See indicators 110.390-100.400 for specific information pertaining to release of information.	

Legal Requirement	Indicator	Data Source
SP IV; Fed. Regulations 300.323	(f)	,
SECTION 2: NO EVALUATION	ON REPORT AND NO IEP RECEIVED AT ENROLLMENT	
550.40 Interviews:	When NO evaluation report or IEP are received at enrollment, but where there is a known or suspected disability (e.g., parent indicates child is in special education, obvious disability, etc.) documentation indicates: 550.40.a. Receiving agency interviewed parent or students (age 18+), immediately upon enrollment AND 550.40.b. Receiving agency interviewed officials of the sending agency immediately upon enrollment Documentation includes: 550.40.c. Date of interview(s) (m/d/y)	Agency records and student file
	 550.40.d. Name(s) and role(s) of individuals interviewed 550.40.e. Information gained from interviews (e.g., assessment dates/results, eligibility determination/staffing date, special education and related services, placement, etc.) 	
550.50 Place child in regular education and conduct initial evaluation if appropriate	When an out-of-state transfer child's records are not available to the new public agency at the time of enrollmen and the new public agency is not able to obtain the child's records from the previous public agency, after taking reasonable steps to obtain them, the new public agency is not required to provide services to the child. The new public agency, in consultation with the parent(s), would be unable to determine what constitutes comparable services for the child, since that determination must be based on the services contained in the child's IEP from the previous district.	Agency records and student file
	Documentation indicates:	
	550.50.a. The child is placed in regular education AND	
	NOTE (1): While not required to do so, public agencies may implement comparable services based upon interview information, if there is sufficient reason to suspect the child has a disability and they choose to do so until an initial evaluation can be conducted and an IEP developed, if the child is found eligible. NOTE (2): For initial evaluation procedures, see Indicators 200.30 NOTE (3): Because this would be considered an initial evaluation, parental consent is required	
550.60 Evaluation Results – Child found eligible	At the Conclusion of the evaluation: 550.60.a. Documentation indicates the child was found eligible.	Agency records and student file
	If child is found eligible according to Missouri eligibility criteria, as stated in the Special Education Compliance	

Legal Requirement		Indicator	Data Source
SP IV; Fed. Regulations 300.323	B(f)		
		<i>lew Standards and Indicators Manual</i> – within 30 days of eligibility determination, documentation new public agency:	
	550.60.b.	Convened an IEP team meeting	
	550.60.c.	Developed an IEP	•
	550.60.d.	Obtained parental consent for initial services	•
	550.60.e.	Implemented IEP	
		the evaluation and/or IEP are received from the previous public agency, within 30 days, follow the tlined in Section 5, as appropriate.	
		OR	! ! !
550.70 Child Ineligible		nd ineligible, according to Missouri eligibility criteria as stated in the Special Education Program Review Standards and Indicators Manual:	: Agency records and : student file
Ciliu illeligible		Documentation indicates the child was found ineligible.	
		The parent was provided with a Notice of Action for Ineligibility	:
SECTION 3: EVALUATION	REPORT RECEI	IVED, NO IEP RECEIVED AT ENROLLMENT	
550.80	When evaluati	ion report is received and NO IEP received at enrollment, documentation indicates:	: Agency records and
Interviews:	550.80.a.	Receiving agency interviewed parent or students (age 18+), immediately upon enrollment	student file
	:	AND	· ·
	550.80.b.	Receiving agency interviewed officials of the sending agency immediately upon enrollment	:
	Documentation	n includes:	:
	550.80.c.	Date of interview(s) (m/d/y)	: :
	550.80.d.	Name(s) and role(s) of individuals interviewed	:
	550.80.e.	Information gained from interviews (e.g., assessment dates/results, eligibility	
		determination/staffing date, special education and related services, placement, etc.)	:
550.90	Immediately u	upon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of	Agency records and
Evaluation report received and	Immediately u		Agency records and student file
Evaluation report received and	Immediately u the school yea determine who	upon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of ur, by the beginning of the school year, the public agency reviewed the evaluation report to	
550.90 Evaluation report received and reviewed:	Immediately u the school yea determine whe 550.90.a.	apon enrollment (if after the beginning of the school year) or if enrollment prior to the beginning of ar, by the beginning of the school year, the public agency reviewed the evaluation report to ether to accept or reject it. Documentation indicates:	

Legal Requirement	Indicator	Data Source
SP IV; Fed. Regulations 300.323(f)	
	550.90.d. Name(s) and role(s) of reviewer(s)	•
	NOTE (1): The decision to accept or reject the evaluation report is an administrative decision and does not require the participation of the IEP team.	
	NOTE (2): In determining whether the child is eligible, the agency must apply Missouri eligibility criteria as stated in the <i>Special Education Compliance Program review Standards and Indicators Manual</i> .	
550.100	Review of the evaluation report indicates information <u>IS NOT</u> sufficient for eligibility determination and/or <u>DOES NOT</u> meet compliance requirements. Documentation indicates:	Agency records and student file
Agency <u>rejects</u> evaluation report and conducts initial evaluation:	550.100.a. Rejection of the evaluation report	. Student file
and conducts initial evaluation.	550.100.b. Initiation of initial evaluation procedures	• • •
	AND	
	550.100.c. Child placed in regular education during evaluation	• • •
	NOTE (1): For initial evaluation procedures, see indicators 200.30 NOTE (2): Because this would be considered an initial evaluation, parental consent is required.	
	NOTE (3): While not required to do so, public agencies may implement comparable services based upon interview information, if there is sufficient reason to suspect the child has a disability and they choose to do so until an initial evaluation can be conducted and an IEP developed, if the child is found eligible.	
	AND	• •
550.110	: At the conclusion of the evaluation:	: Agency records and
Evaluation Results: Child Eligible:	550.110.a. If the child is found eligible, within 30 days of determination of eligibility, the IEP team met to develop an IEP	student file
	OR	•
550.120	At the conclusion of the evaluation:	Agency records and
Evaluation Results: Child Ineligible:	550.120.a. If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.	student file
	OR	•
550.130 Agency <u>accepts</u> evaluation report	Review of the evaluation report indicates information <u>IS</u> sufficient for eligibility determination according to Missouri eligibility criteria, as stated in the <i>Special Education Compliance Program Review Standards and Indicators Manua</i> , and contains <u>ALL</u> requirements for compliance. Documentation indicates:	Agency records and student file
	550.130.a. Acceptance of the evaluation report.	

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Legal Requirement	Indicator	Data Source
SP IV; Fed. Regulations 300.32	3(f)	
	AND	
550.140 Develop an IEP	After accepting the evaluation report, when the IEP is not received, documentation indicate the new public agency: 550.140.a. Convened an IEP team meeting	Agency records and student file
	550.140.b. Developed an IEP	:
	550.140.c. Obtained parental consent for initial services	:
	550.140.d. Implemented an IEP	
	NOTE (1): If the IEP from the previous agency is received within 30 days and the district has not yet developed an IEP but has confirmed that the child meets Missouri eligibility criteria,, proceed to Section 5 and follow the IEP procedures. If an IEP has already been developed, review the previous IEP and convene the IEP team to review, revise the current IEP if appropriate.	
SECTION 4: RECEIVED IEI	P, BUT NO EVALUATION REPORT	
550.150 IEP Received and Reviewed	If the new public agency receives only an IEP, but no evaluation report at enrollment, the new agency must provide the child with FAPE, including services comparable to those described in the IEP from the previous agency, until the new agency determines whether the child meets Missouri eligibility criteria, as stated in the Special Education Compliance Program Review Standards and Indicators manual and has developed and implemented an IEP. To make that determination, an evaluation report is necessary – either from the previous public agency (received within 30 calendar days), or from an initial evaluation the new public agency conducts.	Agency records and student file
550.160	Documentation is present as follows:	: Agency records and
Comparable Services	550.160.a. Date of first day of school year (m/d/y) 550.160.b. Date IEP received (m/d/y)	student file
	550.160.c. Date IEP reviewed (m/d/y)	:
	550.160.d. Name(s) and role(s) of reviewer(s) 550.160.d.(1) IEP accepted	:
	550.160.d.(1) IEF accepted 550.160.d.(2) IEP rejected	:
	550.160.e. Date comparable services implemented (m/d/y)	:
550.170	Conduct initial evaluation	Agency records and
Conducts initial evaluation		student file
	AND At the conclusion of the evaluation:	<u> </u>
550.180	At the conclusion of the evaluation.	Agency records and

Legal Requirement		Indicator	Data Source
SP IV; Fed. Regulations 300.323(f)	,		
Evaluation Results: Child	550.180.a.	Documentation indicators the child was found eligible.	student file
Eligible:		If the child is found eligible, within 30 days of determination of eligibility, the IEP team met to develop an IEP	
	•	OR	
550.190	At the conclus	ion of the evaluation:	: Agency records and
Evaluation Results: Child Ineligible:		If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.	student file
		the evaluation report from the previous public agency is received within 30 days and the district has an evaluation, follow the procedures for review of the evaluation in Section 5.	
SECTION 5: EVALUATION RE	PORT AND IE	P RECEIVED AT ENROLLMENT	
550.200		pon enrollment (if after the beginning of the school year) or if enrollment is prior to the beginning	: Agency records and
Evaluation report received and reviewed:		rear, by the beginning of the school year, the public agency reviewed the evaluation report to ether to accept or reject it. Documentation indicates:	student file
	550.200.a.	Date of first day of school year (m/d/y)	
	550.200.b.	Date evaluation report received (m/d/y)	:
	550.200.c.	Date evaluation report reviewed (m/d/y)	
	550.200.d.	Name(s) and role(s) of reviewer(s)	· ·
		ne decision to accept or reject the evaluation report is an <u>administrative</u> decision and does not ticipation of the IEP team.	
	NOTE (2): In	determining whether the child is eligible, the agency must apply Missouri eligibility criteria as	:
		pecial Education Compliance program Review Standards and Indicators Manual, Eligibility ments 600 through 2100.	
550.210		n indicates review of the evaluation report information <u>IS</u> sufficient for eligibility determination	Agency records and
Agency <u>accepts</u> evaluation report:	and contains A	ALL requirements for compliance.	student file
	If there is a delay	lay in determining acceptance or rejection of the previous IEP or if the previous IEP is rejected and y in convening an IEP team meeting to develop a new IEP, documentation is present that:	
	550.210.a.	The Public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency	

Legal Requirement	Indicator	Data Source
SP IV; Fed. Regulations 300.323(f)	,
	could adopt the previous IEP or convene an IEP team meeting to develop a new IEP that is consistent with Federal and State law regulations.	
	OR	i ! !
550.220	550.220.a. Rejection of evaluation report is documented	Agency records and
Agency rejects evaluation report	550.220.b. Initiation of initial evaluation procedures is documented	student file
550.230	At the conclusion of the evaluation:	: Agency records and
Evaluation results: Child Eligible	550.230.a. Documentation indicates child was found eligible	student file
	550.230.b. If the child is found eligible, within 30 days of determination of eligibility, the IEP team met to develop an IEP.	
	OR	
550.240	At the conclusion of the evaluation:	Agency records and
Evaluation results: Child Ineligible	550.240.a. If child is found ineligible, the parent was provided with a Notice of Action for Ineligibility/Change of Placement and child exited from services.	student file
550.250	If the evaluation report was accepted or rejected, documentation is present:	: Agency records and
IEP Reviewed		student file
	550.250.a. Date IEP received (m/d/y)	:
	550.250.b. Date IEP reviewed (m/d/y)	:
	550.250.c. Name(s) and role(s) of reviewer(s)	
	NOTE (1): The decision to accept or reject the IEP is an administrative decision and does not require the participation of the IEP team.	
550.260	Review of the IEP indicates information IS sufficient to accept it. Documentation indicates:	: Agency records and
IEP Accepted	550.260.a. IEP accepted	student file
	NOTE (1): Acceptance of the IEP means that it can be implemented as written without any revisions. If any parts of it are unacceptable, proceed to indicators used when the IEP is rejected. (550.240)	· · · ·
550.270	Review of the IEP indicates information <u>IS NOT</u> sufficient to accept it. Documentation indicates:	Agency records and
IEP Rejected	550.270.a. IEP rejected	student file
	550.270.b. IEP team convened to develop new IEP	
	If there is a delay in determining acceptance or rejection of the previous IEP or if the previous IEP is rejected and there is a delay in convening an IEP team meeting to develop a new IEP, documentation is present that:	

Legal Requirement	! ! ! !	Indicator		
SP IV; Fed. Regulations 300.323(f))			
	550.270.c.	The Public agency, in consultation with the parent, provided FAPE to the child, including services comparable to those described in the previous IEP, until such time as the public agency could adopt the previous IEP or convene an IEP team meeting to develop a new IEP that is consistent with Federal and State law regulations.		

600-ELIGIBILITY CRITERIA: Autism

Legal Requirement	Indicators	Documentation
NOTE: Basis for legal requireme	nts found in the Missouri State Plan for Special Education, Section III Fed. Regulations 300.8, 300.306	
600.10	The evaluation report documents the use of all of the following procedures to address the areas of concern:	Evaluation report
Evaluation procedures include:	600.10.a. Review of medical records,	
	600.10.b. Observation of the child's behavior across multiple environments	
	600.10.c. An in-depth social history	
	NOTE: The in-depth social history may include a developmental history, significant life events, and/or reports from parents, physicians and teachers.	
600.20 Disturbance of the	The evaluation report documents disturbances of speech, language-cognitive development, and nonverbal communication in one (1) or more of the following:	Evaluation report
communication process:	600.20.a. Abnormalities that extend beyond speech to many aspects of the communication process	
	600.20.b. Absence of communicative language or, if present, language lacks communicative intent	
	600.20.c. Characteristics involve both deviance and delay	
	600.20.d. Deficits in the capacity to use language for social communication, both receptive and expressive	
	AND	
600.30 Disturbance in the capacity to	The evaluation report documents a deficit in the child's capacity to relate appropriately to people, events or objects through one (1) or more of the following ways:	Evaluation report
relate appropriately:	600.30.a. Evidence of abnormalities in relating to people, events, or objects	
	600.30.b. Deficits in capacity to form relationships with people	
	600.30.c. Use of objects in an age-appropriate or functional manner are absent, arrested, or delayed	
	600.30.d. Seeks consistency in environmental events to the point of exhibiting rigidity in routines	
600.40 Adverse effect on educational	600.40.a. The evaluation report documents all areas in which the child's autism adversely affects her/his educational performance.	Evaluation report
performance:	600.40.b. The documentation includes a description of the educational concerns.	

600-ELIGIBILITY CRITERIA: Autism

			
Legal Requirement		Indicators	Documentation
600.50 Autism is not a result of other factors:	600.50.a.	The evaluation report documents the results of the evaluation and the team's conclusion that the child's autism is not the result of an emotional disability.	Evaluation report
		AND, IF APPROPRIATE	
600.60 Disturbance of developmental	The evaluation more of the	on report documents deficits in the child's developmental rates and sequences through one (1) or following:	Evaluation report
rates and sequences:	600.60.a.	Delays, arrests or regressions in physical, social or learning skills	
	600.60.b.	Areas of precocious development with other skill areas at normal or extremely depressed rates	
	600.60.c.	Skill acquisition does not follow normal developmental patterns	
		AND/OR, IF APPROPRIATE	
600.70 Disturbance of responses to sensory stimuli:	The evaluati	on report documents deficits in the child's responses to sensory stimuli through one (1) or more of g:	Evaluation report
	600.70.a.	Behavior ranges from hyperactive to unresponsive to people and objects and can alternate between these states over periods ranging from hours to months	
	600.70.b.	Disturbances in auditory, visual, olfactory, gustatory, tactile and kinesthetic responses	
	600.70.c.	Responds to stimulation inappropriately and in repetitive or nonmeaningful ways	

700-ELIGIBILTIY CRITERIA: Deaf/Blind

Legal Requirement			Indicator	Documentation	
NOTE: Basis for legal requirements found in Missouri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306					
700.10 Hearing and visual evaluation:		The evaluation report documents both hearing and visual impairments as described in the criteria for Hearing Impairment/Deafness and Visual Impairment through:			
	700.10.a.	Comprehensive ev	aluations by a qualified otologist, otolaryngologist, or audiologist		
			AND		
	700.10.b.	Comprehensive ev	aluations by a licensed optometrist or board-certified ophthalmologist.		
700.20	A comprehe	ensive educational eva	duation is present which documents:	Evaluation Report	
Comprehensive educational evaluation:	700.20.a.	All areas in which performance	the child's visual and hearing impairments adversely affect her/his educational		
	700.20.b.	The combination o	f the hearing and vision loss cause severe concern in the following areas:		
		700.20.b.(1)	Communication		
	:	700.20.b.(2)	Development		
		700.20.b.(3)	Education needs		

800-ELIGIBILITY CRITERIA: Emotional Disturbance

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal requiren	nents found in Missouri State Plan For Special Education, Section III; Fed. Regulations 300.8, 300.306	_
800.10 One (1) or more	The evaluation report documents a comprehensive evaluation which confirms the presence of an emotional disturbance and includes a description of one (1) or more of the following characteristics:	Evaluation report
characteristics of emotional disturbance are present:	800.10.a. Inability to learn that cannot be explained by intellectual, sensory or health factors.	
distarbance are present.	800.10.b. Inability to build or maintain satisfactory interpersonal relationships with peers and teachers.	
	800.10.c. Inappropriate types of behavior or feelings under normal circumstances.	
	800.10.d. General pervasive mood of unhappiness or depression.	
	800.10.e. Tendency to develop physical symptoms or fears associated with personal or social problems.	
	NOTE: The term emotional disturbance includes schizophrenia, but does not apply to children who are socially maladjusted unless it is determined they also have an emotional disturbance.	
800.20	The evaluation report includes an analysis of the identified concerns through both of the following methods:	Evaluation report
Evaluation procedures include:	800.20.a. Observations of the behavior in different environments,	
	AND	
	800.20.b. An in-depth social history.	
	NOTE: The in-depth social history may include a developmental history, significant life events, and/or reports from parents, physicians, and teachers.	

800-ELIGIBILITY CRITERIA: Emotional Disturbance

Legal Requirement	Indicator	Documentation
800.30 Impact of emotional	800.30.a. The evaluation report documents that the characteristics have existed over an extended period of time,	Evaluation report
disturbance:	AND	
	800.30.b. The characteristics exist to a marked degree.	
	NOTE (1): In most cases, an extended period of time would be from 2-9 months.	
	NOTE (2): Children who experience and demonstrate problems of everyday living and/or those who develop transient symptoms due to a specific crisis or stressful experience are not considered to have an emotional disturbance.	
800.40	The evaluation report documents:	Evaluation report
Adverse effects on educational performance:	800.40.a. The adverse impact of the emotional disturbance on educational performance in the school setting.	•
	800.40.b. A description of the educational concerns.	

900-ELIGIBILITY CRITERIA: Hearing Impairment/Deafness

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal requiren	nents found in Missouri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306	
900.10 Hearing evaluation:	900.10.a. The evaluation report documents a comprehensive hearing evaluation, by a qualified audiologis which confirms a hearing impairment or deafness.	t, Evaluation Report
900.20 Comprehensive educational evaluation:	A comprehensive educational evaluation is present which documents: 900.20.a Areas in which the child's hearing impairment or deafness adversely affects her/his educational performance.	Evaluation Report
	900.20.b. A description of the educational concerns.	

1000-ELIGIBILITY CRITERIA: Intellectual Disability

Legal Requirement		Documentation		
NOTE: Basis for legal requirement	nts found in the Mi	ssouri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306		
1000.10	Documentation	Documentation regarding the child's performance includes the following:		
Child performs 2.0 standard deviations (SD) below peers:	1000.10.a.	Name of cognitive measure used		
at initials (e2) evis in perisi	1000.10.b.	Score obtained for child		
	1000.10.c.	Statement that score is equal to or below 2.0 SD from the mean for that measure which is valid when considering age, ethnic and cultural background		
		core obtained will vary depending upon the instrument. It may be an IQ score, scale score, standard le, Z score, or developmental age.		
1000.20	Documentation	n of adaptive behavior includes the following:	Evaluation report	
Adaptive behavior is consistent with cognitive	1000.20.a.	Name of the adaptive behavior scale administered		
abilities:	1000.20.b.	Results of that evaluation		
	1000.20.c.	The overall score on measured adaptive behavior is consistent with cognitive abilities.		
	:	AND		
1000.30 Reduced cognitive ability and		formal and/or informal assessments in achievement and other areas of functioning such as a skills and social skill development indicate the following:	Evaluation report	
adaptive behavior adversely affects educational	1000.30.a.	Reduced cognitive abilities and adaptive behavior adversely affect educational performance		
performance:	1000.30.b.	Specific areas of impact are described		

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1100-ELIGIBILITY CRITERIA: Multiple Disabilities

Legal Requirement		Documentation	
NOTE: Basis for legal requirements	found in the Mis	ssouri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306	
1100.10 Two (2) diagnosed physical/sensory	The evaluation personnel:	n report documents at least two (2) diagnosed physical/sensory impairments by the following qualified	Evaluation report
impairments:	1100.10.a.	A physical evaluation by a licensed physician.	
		AND	
	1100.10.b.	A visual evaluation by a qualified optometrist or ophthalmologist.	
		OR	
	1100.10.c.	An auditory evaluation by a qualified audiologist.	
	:		
	NOTE: This e 700.	ligibility criteria does not include Deaf/Blind. For specific criteria related to Deaf/Blind, see Document	
		OR	
1100.20	The evaluation	report documents one (1) diagnosed physical/sensory impairment by the following qualified personnel:	Evaluation report
One (1) diagnosed physical/sensory impairment and a concomitant	1100.20.a.	A physical evaluation by a licensed physician.	
disabling condition:		OR	
	1100.20.b.	A visual evaluation by a qualified optometrist or ophthalmologist.	
		OR	
	1100.20.c.	An auditory evaluation by a qualified audiologist.	
		AND	
	1100.20.d.	All components for documentation of a concomitant disabling condition using the approved eligibility criteria (see appropriate eligibility criteria).	
1100.30 Comprehensive educational	1100.30.a.	A comprehensive educational evaluation is present which documents all of the areas in which the child's multiple disabilities adversely affects her/his educational performance.	Evaluation report
evaluation:	1100.30.b.	The documentation includes a description of the educational concerns.	
	1100.30.c.	Documentation shows the combination of disabilities causes such severe educational needs that they cannot be accommodated in special education programs solely for one of the impairments.	

1200-ELIGIBILITY CRITERIA: Orthopedic Impairment

Legal Requirement	!	Documentation	
NOTE: Basis for legal requirements fou	nd in <i>Missouri St</i>	ate Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306	
1200.10	1200.10.a.		
Orthopedic evaluation:		physician that results in a diagnosis of a severe orthopedic impairment.	
	etc.), impairme	hopedic impairments include congenital anomalies (i.e., club foot, absence of some member, nts caused by disease (e.g., poliomyelitis, bone tuberculosis, etc.) and other causes (e.g., amputations and fractures or burns that cause contrachures).	
	NOTE (2): A	copy of physician's report may be attached.	
1200.20	1200.20.a.	A comprehensive evaluation is present which documents all of the areas in which the	Evaluation report
Comprehensive educational evaluation:		child's orthopedic impairment adversely affects her/his educational performance.	
	1200.20.b.	The documentation includes a description of the adverse educational impact of the physical impairment.	

1300-ELIGIBILITY CRITERIA: Other Health Impairment

Legal Requirement		Indicator	Documentation
NOTE: Basis for legal requirem	ents found in Misso	ouri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306	
1300.10 Health evaluation:	1300.10.a.	The evaluation report documents a comprehensive health evaluation by a licensed physician that results in the diagnosis of a chronic or acute health problem.	Evaluation report
	:	OR	
	1300.10.b.	For those conditions not requiring a medical diagnosis (e.g, attention deficit disorder or attention deficit hyperactivity disorder), the evaluation report documents a comprehensive evaluation by a licensed psychologist, licensed professional counselor, licensed clinical social worker, or school psychologist.	
	:	AND	
	1300.10.c.	Documentation indicates that the health impairment results in limited strength, vitality or alertness, including a heightened alertness to environmental stimuli.	
	gross motor ac	mited strength refers to physical strength and energy and includes lack of endurance for fine and/or ctivities (e.g. poor trunk control, slouching, tires easily, muscular power). It also refers to a ctional stamina, and how the student responds to stress/pain	
		mited vitality refers to the capacity for endurance including duration, intensity and frequency over into consideration the level of sustained energy/effort displayed by the student.	
	manage/maint	imited alertness refers to mental processing and the ability to think clearly. It includes the ability to ain attention and awareness including the ability to sustain focus. It also includes heightened ding being overly observant, watchful or "on guard."	
1300.20 Comprehensive educational evaluation:	1300.20.a.	A comprehensive educational evaluation is present which documents all of the areas in which the child's health impairment adversely affects her/his educational performance.	Evaluation report
	1300.20.b.	The documentation includes a description of the educational concerns.	

1400-ELIGIBILITY CRITERIA: Specific Learning Disabilities

Legal Requirement	Indicator	Documentation
	ments found in <i>Missouri State Plan for Special Education</i> , Section III. Additional requirements related to the evaluation 270-200.320. Fed. Regulations 300.307-300.311	report for specific
1400.10	A comprehensive evaluation report is present and documents:	Evaluation report
Areas of inadequate achievement	The child does not achieve adequately for the child's age or to meet State approved grade-level standards in one or more of the following areas, when provided with learning experiences and instruction appropriate for the child's age or State-approved grade-level standards:	
300.309(a)(1)		
,,,,	1400.10.a. Basic Reading Skill 1400.10.b. Reading Comprehension	
	1400.10.c. Reading Fluency Skills	
	1400.10.d. Written Expression	
	1400.10.e. Mathematics Calculation	
	1400.10.f. Mathematics Problem Solving	
	1400.10.g. Listening comprehension	
	1400.10.h. Oral Expression	
1400.20	RESPONSE TO SCIENTIFIC RESEARCH-BASED INTERVENTION METHOD	Evaluation report
Methods of eligibility	The child does not make sufficient progress to meet age or State approved grade-level standards in one or more	L'anadion report
determination	of the areas identified above when using a process based on the child's response to scientific, research-based	
300.309 (a)(2)(i)(ii)	interventions.	
	Documentation must include:	
	1400.20.a. Documentation is present that agency procedures for identification of a child with Specific Learning Disabilities were followed.	
	1400.20.b. Instructional strategies used and the student-centered data collected.	
	1400.20.c. Documentation that the child's parents were notified about:	
	1400.20.c.(1) the State's policies regarding the amount and nature of student performance data	
	that would be collected and the general education services that would be provided;	
	1400.20.c.(2) Strategies for increasing the child's rate of learning, and;	
	1400.20.c.(3) The parents' right to request an evaluation.	
	OR	

1400-ELIGIBILITY CRITERIA: Specific Learning Disabilities

Legal Requirement	Indicator	
	DISCREPANCY METHOD	
	1.) The child exhibits a pattern of strengths and weaknesses in performance, achievement, or both, relative to age, State approved grade-level standards, or intellectual development.	
	Documentation must include:	
	1400.20.d. Evidence of pattern of strengths and weaknesses AND	
	1400.20.e. Discrepancy of at least 1.5 standard deviations between achievement and intellectual ability. OR	
	PROFESSIONAL JUDGEMENT	
	1400.20.f. A child who exhibits a pattern of strengths and weaknesses as noted above but does not display a discrepancy of at least 1.5 standard deviations as defined above, may be deemed to have a specific learning disability through the use of professional judgment.	
	1400.20.g. Documentation must include evidence that the child is not achieving adequately or making sufficient progress based on a review of formal and informal assessments.	
1400.30	The public agency must ensure that the child is observed in the child's learning environment (including the	Evaluation report
Observation	regular classroom setting) to document the child's academic performance and behavior in the areas of difficulty. Documentation should include:	-
300.310	1400.30.a. Information from an observation in routine classroom instruction and monitoring of the child's	
	performance done <u>before</u> the child was referred for an evaluation; or 1400.30.b. Observation by a qualified professional in the regular classroom <u>after</u> the child has been referred for an evaluation and parental consent is obtained.	
	1400.30.c. Relevant behavior noted during the observation related to the subcategory of Specific Learning Disability suspected and the relationship of that behavior to the child's academic functioning.	
	1400.30.d. The observation report must contain the name and title of the qualified professional conducting the observation.	
	NOTE: In the case of a child of less than school age or out of school, an observation must be done in an environment appropriate for a child of that age.	
1400.40	The determination of the existence of a specific learning disability must be made by the child's parents and a	Evaluation report
Additional group members	team of qualified professionals, which must include:	Evaluation report
300.308	1400.40.a. The child's regular education teacher. OR	
	1400.40.b. If the child does not have a regular teacher, a regular classroom teacher qualified to teach a child of his/her age.	
	OR	

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1400-ELIGIBILITY CRITERIA: Specific Learning Disabilities

Legal Requirement	Indicator	Documentation
	1400.40.c. For a child of less than school age, an individual qualified to teach a child of his/her age. AND	
	1400.40.d. At least one person qualified to conduct individual diagnostic examinations of children such as a school psychologist, school psychological examiner, speech/language pathologist, special education teacher, or remedial reading teacher.	
1400.50 Evaluation Report	In interpreting evaluation data for the purpose of determining if a child is a child with a specific learning disability:	Evaluation report
300.306 (c)(1); 300.311	1400.50.a. The public agency must document relevant medical findings. OR	
	If no relevant medical findings, this must be noted in the evaluation report.	
	1400.50.b. Each team member must certify in writing whether the report reflects her/his conclusion(s). If it does not, the group member must submit a separate statement presenting the member's conclusions.	
	NOTE: This requirement is not applicable to parent(s) of a child.	
	The report includes a statement that the group considered as part of the evaluation: 1400.50.c. Data that demonstrates that prior to or as part of the referral process, the child was provided appropriate instruction in regular education settings, delivered by qualified personnel, and Data-based documentation of repeated assessments of achievement at reasonable intervals, reflecting formal assessment of student progress during instruction, which was provided to the child's parents.	
1400.60	The team determines that its findings of a Specific Learning Disability are not primarily the result of:	Evaluation report
Learning disability is not primarily the result of specific factors 300.309 (a)(3)(i-vi); 300.311	 1400.60.a. A visual, hearing, or motor disability; 1400.60.b. Intellectual Disability; 1400.60.c. Emotional disturbance; 1400.60.d. Cultural factors; 1400.60.e. Environmental or economic disadvantage; 	

1500-ELIGIBILITY CRITERIA: Language Impairment

Legal Requirement		Documentation	
NOTE: Basis for legal requirement	nts found in Miss	souri State Plan for Special Education, Section III; Fed. Regulations 300.8, 300.306	
1500.10 Consistent, inappropriate use of	The evaluation of language:	on report documents the consistent inappropriate use of one (1) or more of the following structures	Evaluation report
the structures of language:	1500.10.a.	Morphology (structuring words from smaller units of meaning)	
	1500.10.b.	Syntax (putting words together in phrases and sentences—sometimes referred to as grammar deficits)	
	1500.10.c.	Semantics (selecting words to represent intended meaning and combining words and sentences to represent intended meaning—sometimes referred to as vocabulary deficits)	
	1500.10.d.	Pragmatics (using the functions of language to communicate with others)	
1500.20 Assessment of language	The evaluation structure(s) o	on report documents that one (1) or more of the following procedures were used to assess the flanguage:	Evaluation report
structures:	1500.20.a.	Language sampling:	
	:	1500.20.a.(1) Method of elicitation of the sample	
	:	1500.20.a.(2) Setting for the sample	
		1500.20.a.(3) Analysis procedures used	
	:	1500.20.a.(4) Description of the child's language deficits identified by the sample	
	:	OR	
	1500.20.b.	Structured clinical tasks:	
	:	1500.20.b.(1) Language activity initiated	
	:	1500.20.b.(2) Setting for the clinical tasks	
	:	1500.20.b.(3) Analysis procedures used	
		1500.20.b.(4) Description of the child's language deficits identified by the clinical tasks	
1500.30	1500.30.a.	The evaluation report documents the results of two (2) norm-referenced and standardized language	Evaluation report
Level of language functioning:		assessments which measure the same areas of language.	1
	1500.30.a.	The evaluation determines whether the child's language functioning is significantly below the child's cognitive abilities. The following criteria apply:	

1500-ELIGIBILITY CRITERIA: Language Impairment

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Legal Requirement		Indicator	Documentation
		1500.30.b.(1) Children ages 3 through 5 years, not kindergarten eligible: 2 standard deviations below peers.	
		1500.30.b.(2) Children who are kindergarten age eligible and older: 1.5 standard deviations below cognitive ability.	
	:	OR	
	1500.30.c	Use professional judgment with sufficient data present in the evaluation report to document the existence of a language disorder even though the criterion defined in 1500.30.b.(1) and 1500.30.b.(2) has not been met.	
	NOTE: If u	nable to obtain the child's full-scale cognitive score, professional judgment must be used.	
		AND	
1500.50 Adverse educational impact:	1500.50.a.	The evaluation report includes information that addresses the extent to which the child's language functioning adversely affects the child's educational performance.	Evaluation report
	1500.50.b.	Specific areas of impact are described.	
1500.60 Dialectal differences or second language influence:	1500.60.a.	The evaluation report documents the team's conclusion that the child's language impairment is not a result of dialectal differences or second language influence.	Evaluation report

1600-ELIGIBILITY CRITERIA: Sound System Disorder (Articulation and/or Phonology)

Legal Requirement	Indicators	Documentation
NOTE: Basis for legal requireme	ents found in Missouri State Plan for Special Education, Section III; Federal Regulations 300.8; 300.306	
1600.10 Description of delay in correct sound production:	The evaluation report describes the child's sound production errors. These errors may be described as a delay in correct sound system production in one (1) or more of the following:	Evaluation report
	Single Sound Errors	
	1600.10.a. Substitution(s)	
	1600.10.b. Omission(s)	
	1600.10.c. Distortion(s)	
	1600.10.d. Addition(s)	
	Multiple Errors 1600.10.e. Phonological pattern(s)	
1600.20 Documentation of sound production outside normal development:	The evaluation report documents the extent to which the child's sound production is outside the limits of State designated normative data.	Evaluation report

1600-ELIGIBILITY CRITERIA: Sound System Disorder (Articulation and/or Phonology)

Legal Requirement		Indicators	Documentation
1600.30	The evaluation report includes documentation of the following:		Evaluation report
Sound system evaluations:	1600.30.a.	Specific sound production errors identified using a single word test and/or a sentence/phrase repetition task/connected speech sample.	
		AND	
	1600.30.b.	A speech sampling procedure that documents the following:	
		1600.30.b.(1) Method of elicitation	
		1600.30.b.(2) Setting for the activity	
	:	1600.30.b.(3) Analysis procedures used	
		1600.30.b.(4) Identification of sound errors	
	:	1600.30.b.(5) Degree of intelligibility and/or impact on listener perception	
	1600.30.c.	Use professional judgment with sufficient data present in the evaluation report to document the existence of a disorder due to multiple errors in the sound system which compromise the child's intelligibility and/or the listener's perception even though the recorded errors are considered within normal developmental guidelines.	
		AND	Evaluation report
1600.50 Adverse educational impact:	1600.50.a.	The evaluation report addresses the adverse educational impact of the child's sound system disorder.	Evaluation report
1600.60 Dialectal differences and second language influence:	1600.60.a.	The evaluation report documents the team's conclusion that the child's sound system disorder is not the result of dialectal differences or second language influences.	Evaluation report

1700-ELIGIBILITY CRITERIA: Speech-Fluency

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal requirement	nts found in Missouri State Plan for Special Education, Section III; Federal Regulations 300.8, 300.306	
1700.10 Symptomatic behaviors of	A comprehensive evaluation report is present which documents that the child consistently exhibits one(1) or more of the following behaviors:	Evaluation report
dysfluency:	1700.10.a. Sound, syllable, or word repetitions	
	1700.10.b. Prolongation of sounds, syllables, or words	
	1700.10.c. Blockages	
	1700.10.d. Hesitations	
	NOTE: Associated symptoms of gesturing and/or extraneous facial or body activity related to the dysfluency may also be evident and addressed in the report.	
1700.20 Speech sample:	The evaluation report documents a sample of the child's speech in a variety of contexts. The report addresses the following:	Evaluation report
	1700.20.a. Method of elicitation	
	1700.20.b. Settings in which sampling occurred	
	1700.20.c. Analysis procedures used	
	1700.20.d. Description of dysfluency	
1700.30	The evaluation report documents the child's fluency as significantly below the norm in one (1) of the following ways:	Evaluation report
Number or rate of dysfluencies:	1700.30.a. Five (5) dysfluencies per minute in each context.	
	OR	
	1700.30.b. A dysfluency rate of 10% or greater.	
	OR	
1700.40 Professional judgment:	1700.40.a. Sufficient data is present in the evaluation report to document through formal and informal assessments the existence of a fluency deficit when the criteria outlined in Indicator 1700.10-1700.30 are not met.	Evaluation report

1700. ELIGIBILITY CRITERIA: Speech-Fluency Rev. September 20, 2012

1700-ELIGIBILITY CRITERIA: Speech-Fluency

Legal Requirement		Documentation	
		AND	
1700.50 Adverse educational impact.	1700.50.a.	The evaluation report includes information that addresses the extent to which the dysfluency adversely affects the child's educational performance.	Evaluation report

1800-ELIGIBILITY CRITERIA: Speech-Voice

Legal Requirement	!	Indicator	Documentation
NOTE: Basis for legal requirement	nts found in Mi.	ssouri State Plan for Special Education, Section III; Federal Regulations 300.8, 300.306	
1800.10 Deviation in one (1) or more of	The evaluation 1800.10.a.	on report documents that the child exhibits deviations in one (1) or more of the parameters of voice: Pitch (e.g., shrill or guttural)	
the parameters of voice:	1800.10.b.	Quality (e.g., breathy, hoarse, or gravelly)	
	1800.10.c.	Volume (e.g., soft, loud, nasal, or denasal)	
		cern in one (1) or more parameters of voice could indicate the need for a medical referral to obtain a ve voice evaluation by a qualified otolaryngologist (ear, nose, and throat specialist). A medical e attached.	
1800.20 Voice is discrepant from the norm:	1800.20.a.	The evaluation report describes whether the child's voice is discrepant from the expected parameters for children of same age, sex, and/or culture	
1800.30 Adverse effect on educational performance:	1800.30.a.	The evaluation report documents that the child's voice disorder adversely affects the child's educational performance.	
1800.40 Voice disorder is not the result of temporary problems:	1800.40.a.	The evaluation report documents the child's voice disorder is not the result of any temporary conditions, (e.g., normal voice changes, allergies, colds, or other conditions).	
	1800.40.b.	An explanation is provided of any known condition(s) that may temporarily impact the child's voice disorder.	

1900-ELIGIBILITY CRITERIA: Traumatic Brain Injury

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal requireme	nt found in Missouri State Plan for Special Education, Section III; Fed. Regulation 300.8, 300.306	
1900.10	Evaluation report documents the diagnosis of a traumatic brain injury by:	Evaluation report
Diagnosis of brain injury/head injury:	1900.10.a. A licensed physician.	
	OR	
	1900.10.b. A neuropsychological assessment identifying traumatic brain injury.	
	NOTE (1): The term includes open or closed head injuries resulting in impairments in one or more areas, such as: cognition, language, memory, attention, reasoning, abstract thinking, judgment, problem solving, sensory, perceptual and motor abilities, psychological behavior, physical functions, information processing, and speech.	
	NOTE (2): The medical and/or neuropsychological reports may be attached to the evaluation report.	
	OR	
1900.20 Professional judgment:	1900.20.a. Evaluation report includes substantial data to document the medical basis for a head injury even though a physician has not made a medical diagnosis of a head injury.	Evaluation report
	AND	
1900.30 Adverse effect on educational performance:	1900.30.a. A comprehensive evaluation report is present which documents deficits in acquisition, retention, and/or generalization of skills resulting from the brain injury.	Evaluation report
1900.40	Documentation indicates deficits in one (1) or more of the following areas:	Evaluation report
Deficits in current function capabilities:	1900.40.a. Building or maintaining social competence	
capacinates.	1900.40.b. Performance of functional daily living skills across settings	
	1900.40.c. Ability to acquire and retain new skills	
	1900.40.d. Ability to retrieve prior information	

2000-ELIGIBILITY CRITERIA: Visual Impairment/Blindness

		Indicator			
Legal Requirement		Documentation			
NOTE: Basis for legal requirement	ound in Missouri State Plan for Sp	ecial Education, Section III; Fed. Regulation 300.8, 300.306			
2000.10 Visual evaluation:	The evaluation report documer ophthalmologist, which confirms:	Evaluation report			
	2000.10.a. Visual impairment,				
	OR				
	2000.10.b. A progressive vision	loss			
2000.20 Visual acuity:	The child is identified with a visua	Evaluation report			
	2000.20.a. Visual acuity has be best correction by gl	en determined to fall within the range of 20/70 to 20/200 in the better eye with asses.			
		OR			
	The child is identified as blind when:				
		en determined to fall at 20/200 or less in the better eye after best correction by sual field measures 20° or less.			
		OR			
	The child is identified with a progr				
	2000.20.c. An optometrist or op	hthalmologist has made a diagnosis of a progressive vision loss.			
2000.30 Comprehensive educational evaluation:		ducational evaluation is present which documents all of the areas in which the ment/blindness adversely affects her/his educational performance.	Evaluation report		
	2000.30.b. The documentation impairment.	includes a description of the adverse educational impact of the visual			

2100-ELIGIBILITY CRITERIA: Young Child with a Developmental Delay

Legal Requirement	Indicator	Documentation
NOTE: Basis for legal requirements		

This criteria is for children ages 3 through 5 (not kindergarten age eligible for the current school year) who are experiencing developmental delays as measured in one of the 3 following ways (Indicators 2100.20, 2100.30, and 2100.40)

NOTE: LEAs in Missouri are not required to adopt and use the term "Young Child with a Developmental Delay" for any children in their jurisdiction. However, if an LEA uses the term "Young Child with a Developmental Delay," the LEA must conform to both the State's definition of the term and the age range.

2100.10 Evaluation procedures include:	The evaluat	tion report docum	ents:	
	2100.10.a.	The results of equivalent level		
	:			
	2100.10.b.	The results of in	nformal assessment(s) provided in equivalent form.	
2100.20 Identification based on multiple delays:	A comprehensive evaluation report is present which documents performance at or below 1.5 standard deviations or equivalent levels of the mean in a combination of any two (2) or more of the following areas:		Evaluation Report	
	2100.20.a.	Cognitive.		
	2100.20.b.	Adaptive.		
	2100.20.c.	Social/Emotion		
	2100.20.d.	Communication	n:	
		2100.20.d.(1)	The child's overall receptive and expressive communication must be at or below 1.5 standard deviations or equivalent levels of the mean.	
	2100.20.e.	Physical.		
		2100.20.e.(1)	The child's overall gross and fine motor scores are at or below 1.5 standard deviations or equivalent level of the mean.	
	!		OR	
2100.30 Identification based on a single delay:	A comprehensive evaluation is present which documents performance at or below 2.0 standard deviations or equivalent levels of the mean in any one (1) of the following areas:			Evaluation Report
	2100.30.a.	Cognitive.		
	2100.30.b.	Adaptive.		

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2100-ELIGIBILITY CRITERIA: Young Child with a Developmental Delay

Legal Requirement		Indicator		Documentation
	2100.30.c.	Social/Emotion	al:	
		2100.30.c.(1)	The Evaluation Report reflects the professional opinion of team members that the child's social/emotional/behavioral delay significantly impacts educational development and describes the educational concerns.	
	2100.30.d.	Communication	ı:	
		2100.30.d.(1)	The child's overall receptive and expressive communication must be at or below 2.0 standard deviations or equivalent levels of the mean	
	2100.30.e.	Physical:		
		2100.30.e.(1)	The child's overall gross and fine motor scores are at or below 2.0 standard deviations or equivalent level of the mean or the child meets the definition of orthopedically impaired as described in Indicators 1200.10-1200.20.	
			OR	
2100.40 Identification based on professional judgment:	2100.40.a.	The multidisciplinary team concludes that even though the standard scores or equivalent levels do not meet stated criterion levels, a significant discrepancy exists in one (1) or more of the developmental area(s). All other applicable steps in the eligibility criteria must be addressed with sufficient documentation (formal and informal assessment) which led the team to its decision.		Evaluation Report
	:		OR	
	2100.40.b		oning above the stated criteria level but is eligible for services based on expected to termination of previous intensive early intervention services.	
	2100.40.c.	Documentation	includes:	
		2100.40.c.(1)	Statement by multidisciplinary team that child would regress without special education and related services.	
		2100.40.c.(2)	Data demonstrating functioning above criterion level.	
		2100.40.c.(3)	Information regarding early intervention services such as type, frequency, intensity, duration of services, and where services were delivered.	
			AND	
2100.50	2100.50	Adverse education	onal impact SP (III).	
	2100.50.a.		eport includes information that addresses the child's need for special education and as a result of the disability.	